In the Matter of
TRADESCAPE LLC

PETITION FOR ORDER

Respondent.

File No. S-00035(LX)

BEFORE THE
DIVISION OF SECURITIES
DEPARTMENT OF FINANCIAL INSTITUTIONS
STATE OF WISCONSIN

The staffs of the Licensing & Compliance Section and the Enforcement Unit, Registration & Enforcement Section, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof allege as follows:

1. **TRADESCAPE LLC** ("Tradescape") is a broker-dealer (CRD #44326) with a last known address of 499 Park Ave-22nd Fl., New York, NY 10022;

2. On June 14, 1999, Tradescape applied through the CRD to become licensed as a broker-dealer in Wisconsin;

3. On June 15, 1999, the staff notified Tradescape of several items needed to complete its application and that those items must be filed by December 14, 1999 or the staff would ask the Administrator to deny its application;

4. On December 16, 1999, the staff sent a letter by certified mail again asking the firm to complete its application or withdraw its application by January 7, 2000;

5. To date, the staff has not received the information that it requested;

6. The failure of Tradescape to complete its application, as described above, provides a basis, pursuant to sec. 551.34(1)(a), Wis. Stats for the denial of its license application.

THEREFORE, the staffs of the Licensing & Compliance Section and the Enforcement Unit petition the Administrator for the issuance of the attached Order.

DATED this __________ day of __________, 2000.

David A. Cohen
Supervising Attorney
Enforcement Unit

Barb Kerl
Licensing Examiner
Licensing & Compliance Section