BEFORE THE
DIVISION OF SECURITIES
DEPARTMENT OF FINANCIAL INSTITUTIONS
STATE OF WISCONSIN

In the Matter of
HLH SECURITIES, INC.
Respondent.

PETITION FOR ORDER
File No. S-02071-LX

The staffs of the Licensing & Compliance Section and the Enforcement Unit, Registration & Enforcement Section, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof allege as follows:

1. **HLH Securities, Inc. ("HLH")** is a broker-dealer (CRD #23326) with a last known address of 23 Silver Pine Drive, Newport Coast, CO 92657;

2. On July 23, 2001, HLH applied through the CRD to become licensed as a broker-dealer in Wisconsin;

3. On July 24, 2001, the staff notified HLH of several items needed to complete its application and that those items must be filed by January 23, 2002 or the staff would ask the Administrator to deny its application;

4. On March 5, 2002, the staff sent a letter by certified mail asking the firm to withdraw its application by March 26, 2002, for failure to complete its application;

5. On March 12, 2002, the certified letter sent by the staff was received by HLH;

6. The failure of HLH to complete its application, as described above, provides a basis, pursuant to sec. 551.34(1)(a), Wis. Stats. for the denial of its license application.

THEREFORE, the staffs of the Licensing & Compliance Section and the Enforcement Unit petition the Administrator for the issuance of the attached Order.

DATED this 11th day of April, 2002.

David A. Cohen
Supervising Attorney
Enforcement Unit

Judy Wilson
 Licensing Examiner
 Licensing & Compliance Section