BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

PETITION FOR ORDER
File No. S-04213-(LX)

In the Matter of
ROHAN BEDI
Respondent.

The staffs of the Licensing & Compliance Section and the Enforcement Unit, Registration & Enforcement Section, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof allege as follows:

1. Rohan Bedi ("Bedi") is a securities agent (CRD #4145934) with a last known business address at 2805 Veterans Highway, Suite 21, Ronkonkoma, New York 11779;

2. On June 4, 2004, Bedi applied through the CRD to become licensed as a securities agent in Wisconsin;

3. On June 4, 2004, the staff sent a letter to Bedi’s firm with a carbon copy to Bedi explaining that we needed a complete statement from the applicant on the details for all charges and/or actions involving the applicant reported on the CRD by June 25, 2004;

4. On July 6, 2004, the staff sent a second request to Bedi’s firm with a carbon copy to Bedi requesting that the information be provided by July 20, 2004. It was also stated that the application may be denied if a response was not received by that date.

5. On August 2, 2004, the staff sent a letter by certified mail to the firm and the applicant again asking the firm to withdraw Bedi’s application. A withdrawal date of August 16, 2004 was given;

6. To date, the staff has not received any additional information nor has the application been withdrawn;

7. The failure of Bedi to complete his application, as described above, provides a basis, pursuant to sec. 551.34(1)(a), Wis. Stats for the denial of his license application.

THEREFORE, the staffs of the Licensing & Compliance Section and the Enforcement Unit petition the Administrator for the issuance of the attached Order.

DATED this ______ day of ______, 2004.

David A. Cohen
Supervising Attorney
Bureau of Registration and Enforcement

Nancy R. Jackson
Examiner
 Licensing and Compliance Bureau