In the Matter of
L. H. ROSS & COMPANY INC.

Respondent.

PETITION FOR ORDER

File No. S-05103 (LX)

The staffs of the Licensing & Compliance Section and the Enforcement Unit, Bureau of Registration & Enforcement, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof allege as follows:

1. L. H. Ross & Company, Inc., ("Ross") is a licensed broker-dealer (CRD #37920) with a last known business address at 2255 Glades Road, Suite 425W, Boca Raton, FL 33431;

2. On March 17, 2005, Ross was expelled from membership in the National Association of Securities Dealers for violation of NASD and SEC rules;

3. Ross’s registration was revoked in at least six states between January 17, 2005 and May 9, 2005;

4. The expulsion of Ross from NASD membership prevents Ross from transacting business as a broker-dealer in the United States;

5. The facts described above provide a basis, pursuant to sec. 551.34(5), Wis. Stats., for a finding that the licensee is no longer in business and provide a basis for the revocation of its broker-dealer license;

THEREFORE, the staffs of the Bureaus of Registration & Enforcement and Licensing & Compliance petition the Administrator to issue the attached Order.

DATED this 26th day of June, 2005.

David A. Cohen
Supervising Attorney
Registration & Enforcement Bureau

Kenneth L. Hojnacki
Director
Licensing & Compliance Bureau