

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 7/26/2020 through 8/1/2020**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Griffin Capital Essential Asset REIT, Inc.	El Segundo, CA	7/27/2020	858752-08	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Advisors Disciplined Trust 2027 Blue Chip Covered Call Portfolio, Series 2020-3Q	Monument, CO	7/31/2020	858776-05	Pending-Cleared
Baillie Gifford Funds Baillie Gifford Japan Growth Fund Class K	Scotland,	7/31/2020	858716-03	Filed
Baillie Gifford Funds Baillie Gifford Japan Growth Fund Institutional Class	Scotland,	7/31/2020	858715-03	Filed
BlackRock Funds II BlackRock Retirement Income 2030 Fund Institutional Shares	Wilmington, DE	7/31/2020	858713-03	Filed
BlackRock Funds II BlackRock Retirement Income 2030 Fund Investor A Shares	Wilmington, DE	7/31/2020	858711-03	Filed
BlackRock Funds II BlackRock Retirement Income 2040 Fund Institutional Shares	Wilmington, DE	7/31/2020	858714-03	Filed
BlackRock Funds II BlackRock Retirement Income 2040 Fund Investor A Shares	Wilmington, DE	7/31/2020	858712-03	Filed
FT 8797 Cboe Vest Large Cap Deep Buffered Portfolio, Series 5	Wheaton, IL	7/31/2020	858773-05	Pending-Cleared
FT 8803 Content Leaders Opportunity Portfolio, Series 16	Wheaton, IL	7/31/2020	858777-05	Pending-Cleared
FT 8804 Content Leaders Portfolio, Series 18	Wheaton, IL	7/31/2020	858778-05	Pending-Cleared

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FT 8805 Sabrient Baker's Dozen Portfolio, 3rd Quarter 2020 Series	Wheaton, IL	7/31/2020	858788-05	Pending-Cleared
FT 8806 High Dividend Equity Portfolio, Series 45	Wheaton, IL	7/31/2020	858781-05	Pending-Cleared
FT 8807 Technology Dividend Portfolio, Series 35	Wheaton, IL	7/31/2020	858782-05	Pending-Cleared
FT 8808 Market Strength Allocation Select Portfolio, Series 41	Wheaton, IL	7/31/2020	858783-05	Pending-Cleared
FT 8809 DSIP Portfolio, 3rd Quarter 2020 Series	Wheaton, IL	7/31/2020	858790-05	Pending-Cleared
FT 8810 Select DSIP Portfolio, 3rd Quarter 2020 Series	Wheaton, IL	7/31/2020	858798-05	Pending-Cleared
FT 8811 Market Strength Allocation Opportunity Portfolio, Series 14	Wheaton, IL	7/31/2020	858784-05	Pending-Cleared
FT 8812 American Recovery Portfolio, Series 2	Wheaton, IL	7/31/2020	858791-05	Pending-Cleared
FT 8813 Limited Duration Fixed Income ETF Portfolio, Series 36	Wheaton, IL	7/31/2020	858796-05	Pending-Cleared
FT 8815 Capital Strength Buy-Write Portfolio, Series 52	Wheaton, IL	7/31/2020	858797-05	Pending-Cleared
FT 8819 Senior Loan & Limited Duration Closed-End Portfolio, Series 96	Wheaton, IL	7/31/2020	858789-05	Pending-Cleared
FT 8829 High-Yield Income Closed-End Portfolio, Series 90	Wheaton, IL	7/31/2020	858780-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2039 Balanced Income Builder Portfolio, Series 32	Chicago, IL	7/31/2020	858785-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2052 SMid Dividend Strength Portfolio, Series 14	Chicago, IL	7/31/2020	858787-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2052 US SMid High Dividend Portfolio, Series 37	Chicago, IL	7/31/2020	858786-05	Pending-Cleared
SmartTrust 482 CEFA Select BDC Trust, Series 19	Parsippany, NJ	7/31/2020	858774-05	Pending-Cleared
SmartTrust 483 60/40 Quality Allocation Trust, Series 3	Parsippany, NJ	7/31/2020	858775-05	Pending-Cleared
SmartTrust 484 Preferreds Plus Trust, Series 13	Parsippany, NJ	7/31/2020	858792-05	Pending-Cleared
SmartTrust 485 Defensive 50 Equities Trust, Series 24	Parsippany, NJ	7/31/2020	858795-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SmartTrust 485 Dorsey Wright® International Momentum Trust, Series 20	Parsippany, NJ	7/31/2020	858793-05	Pending-Cleared
SmartTrust 485 Smart Ten Trust, Series 21	Parsippany, NJ	7/31/2020	858794-05	Pending-Cleared
The First Trust® Combined Series 602 Tax Exempt Municipal Income Trust, Series 312	Wheaton, IL	7/31/2020	858779-05	Pending-Cleared
Wisconsin Capital Funds, Inc. Plumb Balanced Fund Institutional Shares	Milwaukee, WI	7/31/2020	858717-03	Filed
Wisconsin Capital Funds, Inc. Plumb Equity Fund Institutional Shares	Milwaukee, WI	7/31/2020	858718-03	Filed
The Saratoga Advantage Trust James Alpha Managed Risk Domestic Equity Portfolio Class A Shares	Goodyear, AZ	7/30/2020	858710-03	Filed
Transamerica Funds Transamerica High Yield ESG Class I	Denver, CO	7/30/2020	858705-03	Filed
Transamerica Funds Transamerica High Yield ESG Class I2 Shares	Denver, CO	7/30/2020	858706-03	Filed
Transamerica Funds Transamerica Sustainable Bond Class I	Denver, CO	7/30/2020	858707-03	Filed
Transamerica Funds Transamerica Sustainable Bond Class I2 Shares	Denver, CO	7/30/2020	858708-03	Filed
Voya Funds Trust Voya GNMA Income Fund Class R6	Scottsdale, AZ	7/30/2020	858709-03	Filed
The Advisors` Inner Circle Fund Westwood SMidCap Fund Ultra Shares	Oaks, PA	7/28/2020	858692-03	Filed
Trust for Advised Portfolios FRC Founders Index Fund	Milwaukee, WI	7/28/2020	858691-03	Filed
Wells Fargo Funds Trust Wells Fargo Government Money Market Fund Sweep Class	San Francisco, CA	7/28/2020	858693-03	Filed
Ultimus Managers Trust Adler Value Fund Institutional Class	Cincinnati, OH	7/27/2020	858690-03	Filed
<b>Number of Investment Company Filings: 44</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
633 Partners, LLC	Milwaukee, WI	7/31/2020	858754-07	Filed
AB-Abbott Private Equity Investors 2020 (Delaware) Fund L.P.	New York, NY	7/31/2020	858759-07	Filed
Atlantic Park Strategic Capital Fund, L.P.	New York, NY	7/31/2020	858756-07	Filed
AVGSF - Frore 2020	Manchester, NH	7/31/2020	858766-07	Filed
AYRO, Inc.	Round Rock, TX	7/31/2020	858770-07	Filed
Blackstone Life Sciences V Access Fund, L.P.	New York, NY	7/31/2020	858753-07	Filed
CPD to SPD, LLC	Grand Rapids, MI	7/31/2020	858750-07	Filed
Cunat Exchange II DST	McHenry, IL	7/31/2020	858769-07	Filed
Docusign, Inc.	San Francisco, CA	7/31/2020	858768-07	Filed
Elliott Associates, L.P.	New York, NY	7/31/2020	858747-07	Filed
Elliott International Ltd	Grand Cayman,	7/31/2020	858748-07	Filed
Equity Bancshares Inc.	Wichita, KS	7/31/2020	858746-07	Filed
ExchangeRight Net Leased Portfolio 36 DST	Pasadena, CA	7/31/2020	858765-07	Filed
Go Store It Bluffton Storage DST	Charlotte, NC	7/31/2020	858764-07	Filed
Golden Tree Select Partners, L.P.	New York, NY	7/31/2020	858751-07	Filed
Income Laboratory, Inc.	Denver, CO	7/31/2020	858767-07	Filed
JFM Realty Apartment Fund 8, LLC	Oak Brook, IL	7/31/2020	858762-07	Filed
Launch Angels Management Company, LLC	Manchester, NH	7/31/2020	858749-07	Filed
OVP-Airvine LLC	Norwalk, CT	7/31/2020	858763-07	Filed
P1 Investment LLC	San Francisco, CA	7/31/2020	858760-07	Filed
Pines at Woodcreek Investor LLC	New York, NY	7/31/2020	858772-07	Filed
Sapience Therapeutics, Inc.	Harrison, NY	7/31/2020	858771-07	Filed
SpeechVive Inc.	Lafayette, IN	7/31/2020	858761-07	Filed
Tenovos Corp.	New York, NY	7/31/2020	858757-07	Filed
True Level Investments, Inc.	Kansas City, MO	7/31/2020	858755-07	Filed
Vessey Street Capital Partners Co-Invest Fund I, L.P.	New York, NY	7/31/2020	858758-07	Filed
DE Fund II, a series of JC Funds, LP	Seattle, WA	7/28/2020	858704-07	Filed
<b>Number of Regulation D Notice Filings: 27</b>				

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FIREHOUSE OF AMERICA LLC / Area Representative Franchise	JACKSONVILLE, FL	8/1/2020	627001	Registered
Francun Inc. / Curry Up Now	South San Francisco, CA	7/31/2020	627000	Registered
United Franchise Holdings, LLC / United Water Restoration Group	Ormond Beach, FL	7/31/2020	626997	Registered
NaturaLawn of America, Inc.	Frederick, MD	7/31/2020	626989	Registered
1800Packouts Franchise, LLC / 1-800-Packouts	Ball Ground, GA	7/30/2020	626982	Registered
GODFATHERS PIZZA INC / GODFATHERS PIZZA	OMAHA, NE	7/30/2020	626980	Registered
Sit Means Sit Franchise, Inc. / Sit Means Sit	Las Vegas, NV	7/29/2020	626978	Registered
H&R Block Tax Services LLC / H&R Block	Kansas City, MO	7/29/2020	626970	Registered
JACKSON HEWITT INC. / Jackson Hewitt Tax Service	Jersey City, NJ	7/28/2020	626969	Registered
Doc Popcorn Franchising L.L.C. / Doc Popcorn	Paducah, KY	7/28/2020	626966	Registered
Snap Fitness, Inc. / Snap Fitness	Chanhassen, MN	7/27/2020	626965	Registered
<b>Number of Franchise Filings: 11</b>				