

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/3/2021 through 1/9/2021**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Flower Turbines, Inc.	Lawrence, NY	1/8/2021	862495-25	Filed
FT 9054 FT Income Portfolio, Series 10	Wheaton, IL	1/8/2021	862613-05	Pending-Cleared
FT 9060 Technology Select Portfolio, Series 80	Wheaton, IL	1/8/2021	862608-05	Pending-Cleared
FT 9061 International Capital Strength Portfolio, Series 49	Wheaton, IL	1/8/2021	862617-05	Pending-Cleared
FT 9061 SMid Capital Strength Portfolio, Series 49	Wheaton, IL	1/8/2021	862618-05	Pending-Cleared
FT 9073 Senior Loan & Limited Duration Opportunities Closed-End Portfolio, Series 72	Wheaton, IL	1/8/2021	862609-05	Pending-Cleared
FT 9082 Sabrient Dividend Portfolio, Series 34	Wheaton, IL	1/8/2021	862610-05	Pending-Cleared
FT 9083 Worldwide Economic Recovery Portfolio, Series 9	Wheaton, IL	1/8/2021	862611-05	Pending-Cleared
FT 9084 Senior Loan and Dividend Growers Portfolio, Series 29	Wheaton, IL	1/8/2021	862612-05	Pending-Cleared
FT 9086 The Key 3 Portfolio, Series 13	Wheaton, IL	1/8/2021	862615-05	Pending-Cleared
FT 9087 Pacific Northwest Portfolio, Series 5	Wheaton, IL	1/8/2021	862616-05	Pending-Cleared
FT 9124 Municipal Income Select, Closed-End Portfolio, Series 118	Wheaton, IL	1/8/2021	862619-05	Pending-Cleared
Invesco Unit Trusts, Series 2101 Closed-End Strategy: Covered Call Income Portfolio 2021-1	Downers Grove, IL	1/8/2021	862622-05	Filed

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Invesco Unit Trusts, Series 2101 Closed-End Strategy: Master Income Portfolio 2021-1	Downers Grove, IL	1/8/2021	862625-05	Filed
Invesco Unit Trusts, Series 2101 Closed-End Strategy: Master Municipal Income Portfolio - National Series 2021-1	Downers Grove, IL	1/8/2021	862623-05	Filed
Invesco Unit Trusts, Series 2101 Closed-End Strategy: Value Equity and Income Portfolio 2021-1	Downers Grove, IL	1/8/2021	862624-05	Filed
Invesco Unit Trusts, Series 2102 EAFE Select 20 Portfolio 2021-1	Downers Grove, IL	1/8/2021	862626-05	Filed
Invesco Unit Trusts, Series 2102 Global 45 Dividend Strategy Portfolio 2021-1	Downers Grove, IL	1/8/2021	862628-05	Filed
Invesco Unit Trusts, Series 2102 Select 10 Industrial Portfolio 2021-1	Downers Grove, IL	1/8/2021	862631-05	Filed
Invesco Unit Trusts, Series 2102 Select S&P Core Portfolio 2021-1	Downers Grove, IL	1/8/2021	862629-05	Filed
Invesco Unit Trusts, Series 2102 Select S&P Industrial Portfolio 2021-1	Downers Grove, IL	1/8/2021	862630-05	Filed
Invesco Unit Trusts, Series 2102 The Dow Jones Select Dividend Index Strategy Portfolio 2021-1	Downers Grove, IL	1/8/2021	862627-05	Filed
Invesco Unit Trusts, Series 2103 Dividend Income & Value Portfolio 2021-1	Downers Grove, IL	1/8/2021	862632-05	Filed
Invesco Unit Trusts, Series 2104 NASDAQ-100 Growth Leaders Portfolio 2021-1	Downers Grove, IL	1/8/2021	862633-05	Filed
Invesco Unit Trusts, Series 2105 All Cap Core Strategy 2021-1	Downers Grove, IL	1/8/2021	862634-05	Filed
Invesco Unit Trusts, Series 2105 Dividend Income Leaders Strategy Portfolio 2021-1	Downers Grove, IL	1/8/2021	862635-05	Filed
Invesco Unit Trusts, Series 2105 Large Cap Core Strategy 2021-1	Downers Grove, IL	1/8/2021	862636-05	Filed
Invesco Unit Trusts, Series 2105 Mid Cap Core Strategy 2021-1	Downers Grove, IL	1/8/2021	862637-05	Filed
Invesco Unit Trusts, Series 2105 PowerPicks Portfolio 2021-1	Downers Grove, IL	1/8/2021	862638-05	Filed
Invesco Unit Trusts, Series 2105 Small Cap Core Strategy 2021-1	Downers Grove, IL	1/8/2021	862639-05	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Invesco Unit Trusts, Series 2106 Closed-End Strategy: Senior Loan and Limited Duration Portfolio 2021-1	Wheaton, IL	1/8/2021	862614-05	Filed
Invesco Unit Trusts, Series 2107 American Infrastructure Growth Portfolio 2021-1	Downers Grove, IL	1/8/2021	862640-05	Filed
Invesco Unit Trusts, Series 2107 Buyback Leaders Portfolio 2021-1	Downers Grove, IL	1/8/2021	862641-05	Filed
SmartTrust 500 Portfolio of Closed-End Fund Opportunities Trust, Series 23	Parsippany, NJ	1/8/2021	862620-05	Pending-Cleared
SmartTrust 500 Tax-Advantaged Growth & Income, Series 24	Parsippany, NJ	1/8/2021	862621-05	Pending-Cleared
Trust for Advised Portfolios Miller Opportunity Trust Class IS	Milwaukee, WI	1/8/2021	862494-03	Filed
Adviser Managed Trust Tactical Offensive Enhanced Fixed Income Fund	Oaks, PA	1/7/2021	862469-03	Filed
Mutual of America Investment Corporation Investment Corporation All America Fund	New York, NY	1/7/2021	862473-03	Filed
Mutual of America Investment Corporation Investment Corporation Bond Fund	New York, NY	1/7/2021	862474-03	Filed
Mutual of America Investment Corporation Investment Corporation Equity Index Fund	New York, NY	1/7/2021	862472-03	Filed
Mutual of America Investment Corporation Investment Corporation International Fund	New York, NY	1/7/2021	862477-03	Filed
Mutual of America Investment Corporation Investment Corporation Mid-Cap Equity Index Fund	New York, NY	1/7/2021	862476-03	Filed
Mutual of America Investment Corporation Investment Corporation Money Market Fund	New York, NY	1/7/2021	862475-03	Filed
DoubleLine Funds Trust DoubleLine Emerging Markets Local Currency Bond Fund Class I Shares	West Allis, WI	1/6/2021	862455-03	Filed
DoubleLine Funds Trust DoubleLine Emerging Markets Local Currency Bond Fund Class N Shares	West Allis, WI	1/6/2021	862454-03	Filed

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AMG Funds II AMG GW&K Enhanced Core Bond ESG Fund Class Z	Greenwich, CT	1/5/2021	862441-03	Filed
Diamond Hill Funds Diamond Hill High Yield Fund Class Y	Columbus, OH	1/5/2021	862440-03	Filed
Federated Hermes Adviser Series Federated Hermes Conservative Microshort Fund Institutional Shares	Warrendale, PA	1/5/2021	862439-03	Pending-Cleared
Federated Hermes Adviser Series Federated Hermes Conservative Municipal Microshort Fund Institutional Shares	Warrendale, PA	1/5/2021	862438-03	Pending-Cleared
Advisors Disciplined Trust 1999 Advisors Corporate Trust - Navellier/Dial High Income Opportunities Portfolio, Series 140	Monument, CO	1/4/2021	862550-05	Pending-Cleared
Advisors Disciplined Trust 2048 Bahl & Gaynor Large Cap Quality Growth Portfolio, Series 2020-4Q	Monument, CO	1/4/2021	862549-05	Pending-Cleared
BlackRock Private Investments Fund Class A Shares	Wilmington, DE	1/4/2021	862396-59	Filed
BlackRock Private Investments Fund Class D Shares	Wilmington, DE	1/4/2021	862395-59	Filed
BlackRock Private Investments Fund Institutional Shares	Wilmington, DE	1/4/2021	862397-59	Filed
FT 9053 Homebuilders Recovery Select Portfolio, Series 25	Wheaton, IL	1/4/2021	862547-05	Pending-Cleared
FT 9067 Inflation Hedge Portfolio, Series 43	Wheaton, IL	1/4/2021	862541-05	Pending-Cleared
FT 9071 Senior Loan Closed-End and ETF Portfolio, Series 33	Wheaton, IL	1/4/2021	862543-05	Pending-Cleared
FT 9080 Equity Income Opportunity Portfolio, Series 15	Wheaton, IL	1/4/2021	862545-05	Pending-Cleared
FT 9081 Senior Loan Select Closed-End Portfolio, Series 42	Wheaton, IL	1/4/2021	862544-05	Pending-Cleared
FT 9088 Cboe Vest Large Cap Deep Buffered Portfolio, Series 10	Wheaton, IL	1/4/2021	862540-05	Pending-Cleared
FT 9135 Corporate Investment Grade Laddered Portfolio, Series 14	Wheaton, IL	1/4/2021	862542-05	Pending-Cleared
Gatsby Digital, Inc.	New York, NY	1/4/2021	862399-25	Filed

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Guggenheim Defined Portfolios, Series 2081 Dow Jones Value Dividend Focus Portfolio, Series 43	Chicago, IL	1/4/2021	862548-05	Pending-Cleared
Short-Term Investments Trust Invesco Government & Agency Portfolio CAVU Securities Class	Houston, TX	1/4/2021	862392-03	Filed
Short-Term Investments Trust Invesco Liquid Assets Portfolio CAVU Securities Class	Houston, TX	1/4/2021	862393-03	Filed
Short-Term Investments Trust Invesco Treasury Portfolio CAVU Securities Class	Houston, TX	1/4/2021	862394-03	Filed
Sugarfina Corporation	El Segundo, CA	1/4/2021	862400-25	Filed
The First Trust® Combined Series 606 Tax Exempt Municipal Income Trust, Series 316	Wheaton, IL	1/4/2021	862546-05	Pending-Cleared
Trust For Professional Managers Performance Trust Credit Fund Institutional Class Shares	Milwaukee, WI	1/4/2021	862391-03	Filed
<b>Number of Investment Company Filings: 69</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
10X Capital SPAC Fund I, a series of SAX Capital MM Fund, LP	Seattle, WA	1/8/2021	862512-07	Filed
301 Leuda West, LLC	Dallas, TX	1/8/2021	862521-07	Filed
Accel Leaders 3 L.P.	Palo Alto, CA	1/8/2021	862520-07	Filed
Arcadia Investors 1, LLC	Redondo Beach, CA	1/8/2021	862501-07	Filed
Ares COF VI Private Investors, LLC	New York, NY	1/8/2021	862524-07	Filed
Arlington Venture, LLC	Northridge, CA	1/8/2021	862527-07	Filed
BluePearl Holdings, LLC	Tampa, FL	1/8/2021	862503-07	Filed
Care Equity, L.P.	New York, NY	1/8/2021	862499-07	Filed
Corridor Capital Warrior, L.P.	Los Angeles, CA	1/8/2021	862523-07	Filed
CX Evergreens at Mahan Depositor, LLC	Tampa, FL	1/8/2021	862514-07	Filed
Digital Alpha Fund II-A, LP	Henderson, NV	1/8/2021	862502-07	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Disruptive Ventures Remote Revolution LLC Seires SX	New York, NY	1/8/2021	862519-07	Filed
Disruptive Ventures Remote Revolution LLC Series ZD	New York, NY	1/8/2021	862518-07	Filed
FA Fund II, a series of DV Deals, LP	Seattle, WA	1/8/2021	862510-07	Filed
FCID Holdings, Inc.	Fairfield, IA	1/8/2021	862517-07	Filed
First State Bank of the Florida Keys Holding Co	Key West, FL	1/8/2021	862497-07	Filed
Harvard House Apartments, LLC	Bandera, TX	1/8/2021	862500-07	Filed
LCP Energy LP	Nashville, TN	1/8/2021	862529-07	Filed
Maple Farms Partners, LLC	Birmingham, AL	1/8/2021	862522-07	Filed
MeatJure, Inc.	Bellevue, WA	1/8/2021	862505-07	Filed
MH Blue Union Station Investments, LLC	Loveland, CO	1/8/2021	862509-07	Filed
Micronotes, Inc.	Boston, MA	1/8/2021	862515-07	Filed
MVP LS Fund CCXXV LLC	Austin, TX	1/8/2021	862525-07	Filed
Otemanu Village Sand Investors LLC	Atlanta, GA	1/8/2021	862504-07	Filed
PPC III LP	Chicago, IL	1/8/2021	862506-07	Filed
ProQure, Inc	Pleasanton, CA	1/8/2021	862508-07	Filed
RA Gaingels Fund I, a series of Zachary Ginsburg Funds, LP	Seattle, WA	1/8/2021	862511-07	Filed
Sawmill Capital MG, LLC	Chicago, IL	1/8/2021	862516-07	Filed
SE Fund I, a series of Angellist-GP-Funds-I, LP	Seattle, WA	1/8/2021	862496-07	Filed
Star Self Storage Wausau LLC	De Pere, WI	1/8/2021	862531-07	Filed
Stride Consumer Fund I, L.P.	Newton, MA	1/8/2021	862526-07	Filed
Tasseo Consumer Ecosystem LP	Chicago, IL	1/8/2021	862498-07	Filed
UDM 057, a series of SAX Capital Series Fund V, LP	Seattle, WA	1/8/2021	862528-07	Filed
USED 2020 Drilling Fund LP	Arlington, TX	1/8/2021	862530-07	Filed
Western Wealth Capital LVIII US Investor, LP	North Vancouver, BC	1/8/2021	862507-07	Filed
YA Fund I, a series of Angellist-GP-Funds-I, LP	Seattle, WA	1/8/2021	862513-07	Filed
10 Federal Self Storage Acquisition Co 2, LLC	Raleigh, NC	1/4/2021	862478-07	Filed
1200RD LLC	Charleston, SC	1/4/2021	862481-07	Filed
Ancora Catalyst SPV I LP - Series 0	Cleveland, OH	1/4/2021	862466-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Cardone Equity Fund X, LLC	Aventura, FL	1/4/2021	862480-07	Filed
Celsius Network Ltd	London,	1/4/2021	862483-07	Filed
Clemson Dockside, LP	Dallas, TX	1/4/2021	862486-07	Filed
Colby 410, LLC	Mukilteo, WA	1/4/2021	862467-07	Filed
CS1031 Marina Pointe Apartments, DST	Glen Allen, VA	1/4/2021	862487-07	Filed
Element III LLC	Monona, WI	1/4/2021	862479-07	Filed
FarmBank Holding, Inc.	Greeley, CO	1/4/2021	862485-07	Filed
Flir Systems Inc.	Wilsonville, OR	1/4/2021	862471-07	Filed
FountainVest Capital Partners Fund IV, L.P.	Grand Cayman,	1/4/2021	862462-07	Filed
JVM Multi-Family Premier Fund IV, LLC	Oak Brook, IL	1/4/2021	862461-07	Filed
Larson Reward Estates Fund I, LLC	Chesterfield, MO	1/4/2021	862465-07	Filed
LD Fund I, a series of Riverside Ventures, LP	Seattle, WA	1/4/2021	862463-07	Filed
Lightspeed POS Inc.	Montreal, QC	1/4/2021	862464-07	Filed
MA Gaingels Fund I, a series of AngelList-GP-Funds-I, LP	Seattle, WA	1/4/2021	862460-07	Filed
MW Cottonwood Investors, LLC	Boise, ID	1/4/2021	862482-07	Filed
Nanban Investments 2020 Oct Fund, LLC	Frisco, TX	1/4/2021	862459-07	Filed
Neat Capital Inc.	Boulder, CO	1/4/2021	862470-07	Filed
Orion Veridian LLC	Irving, TX	1/4/2021	862468-07	Filed
Rambler Grove, Inc.	Chicago, IL	1/4/2021	862458-07	Filed
Saothair Arandell SPV, LLC	Radnor, PA	1/4/2021	862484-07	Filed
<b>Number of Regulation D Notice Filings: 59</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MOLD MEDICS FRANCHISING LLC / MOLD MEDICS	CARNEGIE, PA	1/8/2021	627605	Registered
BMB Franchising Services, Inc. / "BOMBSHELLS RESTAURANT & BAR" and "BOMBSHELLS"	Houston, TX	1/7/2021	627603	Registered
NP Franchise Group, LLC / "NextCar", "NextCar All Vehicle Rentals"	Rockville, MD	1/7/2021	627600	Registered

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HFC KTU LLC / Bath Tune-Up	Aberdeen, SD	1/5/2021	627599	Registered
MDR United LLC / Mighty Dog Roofing	Doylestown, PA	1/5/2021	627598	Registered
NP FRANCHISE GROUP, LLC / PRICELESS, PRICELESS CAR AND TRUCK RENTAL, PRICELESS CAR AND VAN RENTAL	Rockville, MD	1/4/2021	627594	Registered
<b>Number of Franchise Filings: 6</b>				