

**WI Securities Law and Franchise Law Filings
for 1/3/2021 through 1/9/2021**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Trust for Advised Portfolios Miller Opportunity Trust Class IS	Milwaukee, WI	1/8/2021	862494-03	Filed
DoubleLine Funds Trust DoubleLine Emerging Markets Local Currency Bond Fund Class I Shares	West Allis, WI	1/6/2021	862455-03	Filed
DoubleLine Funds Trust DoubleLine Emerging Markets Local Currency Bond Fund Class N Shares	West Allis, WI	1/6/2021	862454-03	Filed
Trust For Professional Managers Performance Trust Credit Fund Institutional Class Shares	Milwaukee, WI	1/4/2021	862391-03	Filed
Number of Investment Company Filings: 4				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Star Self Storage Wausau LLC	De Pere, WI	1/8/2021	862531-07	Filed
Element III LLC	Monona, WI	1/4/2021	862479-07	Filed
Number of Regulation D Notice Filings: 2				