

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/10/2021 through 1/16/2021**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2062 Recovery Opportunities Portfolio, Series 2020-2	Monument, CO	1/15/2021	862650-05	Pending-Cleared
FT 9062 FT Equity Allocation ETF Model Portfolio, 1Q '21	Wheaton, IL	1/15/2021	862644-05	Pending-Cleared
FT 9063 FT High Income Model Portfolio, 1Q '21	Wheaton, IL	1/15/2021	862645-05	Pending-Cleared
FT 9064 FT Short Duration Fixed Income Model Portfolio, 1Q '21	Wheaton, IL	1/15/2021	862646-05	Pending-Cleared
FT 9065 Top Picks December 2020 Portfolio	Wheaton, IL	1/15/2021	862642-05	Pending-Cleared
FT 9068 Ameriprise Top Picks, 2021 Portfolio	Wheaton, IL	1/15/2021	862647-05	Pending-Cleared
FT 9089 Market Strength Allocation Select Portfolio, Series 43	Wheaton, IL	1/15/2021	862649-05	Pending-Cleared
FT 9090 Cboe Vest Large Cap Buffered Portfolio, Series 14	Wheaton, IL	1/15/2021	862643-05	Pending-Cleared
FT 9093 Limited Duration Fixed Income ETF Portfolio, Series 38	Wheaton, IL	1/15/2021	862648-05	Pending-Cleared
FundVantage Trust Gotham Hedged Core Fund Institutional Class Shares	Wilmington, DE	1/15/2021	862539-03	Filed
Greenfield Groves Inc.	Irvine, CA	1/15/2021	862554-25	Filed
Guggenheim Defined Portfolios, Series 2083 Dow 10 Portfolio, Series 44	Chicago, IL	1/15/2021	862651-05	Pending-Cleared

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Guggenheim Defined Portfolios, Series 2083 International Dividend Strategy Portfolio, Series 50	Chicago, IL	1/15/2021	862652-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2084 Emerging Markets Dividend Strategy Portfolio, Series 37	Chicago, IL	1/15/2021	862654-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2084 Strategic Income Portfolio, Series 112	Chicago, IL	1/15/2021	862653-05	Pending-Cleared
Investment Managers Series Trust II AXS Merger Fund Class I	Milwaukee, WI	1/15/2021	862657-03	Pending-Cleared
Investment Managers Series Trust II AXS Merger Fund Investor Class	Milwaukee, WI	1/15/2021	862658-03	Pending-Cleared
Landa App LLC	New York, NY	1/15/2021	862553-25	Filed
Lighthouse Life Capital, LLC	Conshohocken, PA	1/15/2021	862555-25	Filed
AMG Funds AMG GW&K Small Cap Core Fund Class Z	Greenwich, CT	1/14/2021	862538-03	Filed
FundVantage Trust Sirius Focus Fund Institutional Class	Wilmington, DE	1/12/2021	862536-03	Filed
The Advisors` Inner Circle Fund III First Foundation Fixed Income Fund Class A	Oaks, PA	1/11/2021	862535-03	Pending-Cleared
The Advisors` Inner Circle Fund III First Foundation Fixed Income Fund Class Y	Oaks, PA	1/11/2021	862532-03	Pending-Cleared
The Advisors` Inner Circle Fund III First Foundation Total Return Fund Class A	Oaks, PA	1/11/2021	862534-03	Pending-Cleared
The Advisors` Inner Circle Fund III First Foundation Total Return Fund Class Y	Oaks, PA	1/11/2021	862533-03	Pending-Cleared
Number of Investment Company Filings: 25				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Acretrader 142, LLC	Fayetteville, AR	1/15/2021	862588-07	Filed
Advenir@Boulder Creek Investors, LP	Aventura, FL	1/15/2021	862565-07	Filed
Aegis Special Situations Fund LLC - Series Medtech III	New York, NY	1/15/2021	862576-07	Filed

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Arosa Ventures LP	New York, NY	1/15/2021	862603-07	Filed
Aryv Inc.	Janesville, WI	1/15/2021	862575-07	Filed
Axiom Asia Co-Investmetn Fund II, L.P.	Singapore,	1/15/2021	862561-07	Filed
Azalea Capital RBIC Fund, L.P.	Greenville, SC	1/15/2021	862568-07	Filed
Bent Tree 360, LLC	Bandera, TX	1/15/2021	862587-07	Filed
Blackstone Alternatives Solution VII L.P.	New York, NY	1/15/2021	862578-07	Filed
BP Investco Holdings, LLC	Winter Park, FL	1/15/2021	862592-07	Filed
CBC Bancorp	Irvine, CA	1/15/2021	862586-07	Filed
CCMP Capital Investors (Individuals) IV, L.P.	New York, NY	1/15/2021	862604-07	Filed
Cloud9 Investment Holdings, L.P.	Chicago, IL	1/15/2021	862579-07	Filed
Crown Sterling Ltd, LLC	Newport Beach, CA	1/15/2021	862599-07	Filed
Fintech Collective Fund III LP	New York, NY	1/15/2021	862563-07	Filed
FoundersClub KP2 LLC	San Francisco, CA	1/15/2021	862567-07	Filed
GenoPalate, Inc.	Wauwatosa, WI	1/15/2021	862558-07	Filed
Gotham Green Partners SPV VI, L.P.	Santa Monica, CA	1/15/2021	862580-07	Filed
Indus Holdings, Inc.	Salinas, CA	1/15/2021	862600-07	Filed
Indy Health Holdings LLC	Westerville, OH	1/15/2021	862590-07	Filed
Investbev Growth Fund, LLC	Winnetka, IL	1/15/2021	862584-07	Filed
Item 9 Labs Corp.	Phoenix, AZ	1/15/2021	862583-07	Filed
KKR Health Care Strategic Growth Fund II Private Investors, L.P.	New York, NY	1/15/2021	862581-07	Filed
Larson Capital Crest Ridge, LLC	Chesterfield, MO	1/15/2021	862595-07	Filed
LPC MT FF Aggregator, LLC	Chicago, IL	1/15/2021	862598-07	Filed
MacuLogix, Inc.	Paxtang, PA	1/15/2021	862574-07	Filed
Madrona Venture Fund VIII, L.P.	Seattle, WA	1/15/2021	862557-07	Filed
MCG Madison 521 Investors Pref, LLC	Charlotte, NC	1/15/2021	862564-07	Filed
MCP Investco Holdings, LLC	Winter Park, FL	1/15/2021	862593-07	Filed
Red Oak Income Opportunity Fund, LLC	Grand Rapids, MI	1/15/2021	862566-07	Filed
Resolute Fund V, L.P.	New York, NY	1/15/2021	862591-07	Filed
River Birch Investments LLC / GA	Sandy Springs, GA	1/15/2021	862594-07	Filed

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Segra International Corp.	Richmond, BC	1/15/2021	862589-07	Filed
Sonoma Brands III Select, L.P.	Sonoma, CA	1/15/2021	862602-07	Filed
Strategic Energy Assets VIII Access Fund, LLC	Wilmington, DE	1/15/2021	862585-07	Filed
StructuRe Holdings, LLC	Nashville, TN	1/15/2021	862577-07	Filed
Sunstone Income Apartments V, LLC	Westlake Village, CA	1/15/2021	862601-07	Filed
TriLinc Global Impact Fund LLC	Manhattan Beach, CA	1/15/2021	862559-07	Filed
TU Fund I, a series of Angellist-Forefront Venture Partners-Funds, LP	Seattle, WA	1/15/2021	862596-07	Filed
Valencia Property Fund LP	Washington, DC	1/15/2021	862562-07	Filed
Vineyard Braselton DST	Charlotte, NC	1/15/2021	862569-07	Filed
Wampa Co-Investment Partners, L.P.	Santa Monica, CA	1/15/2021	862560-07	Filed
WF Velocity Fund IV, LLC	Lancaster, PA	1/15/2021	862582-07	Filed
YS BP CNS III, LLC	New York, NY	1/15/2021	862597-07	Filed
Arizona Silver Exploration Inc.	Vancouver, BC	1/13/2021	862556-07	Filed
Tevetron Capital Group LLC	Madison, WI	1/11/2021	862552-07	Filed
Number of Regulation D Notice Filings: 46				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
PM Franchising, LLC / Pretzelmaker	Atlanta, GA	1/15/2021	627630	Registered
HDOS Franchising, LLC / HOT DOG ON A STICK	Atlanta, GA	1/15/2021	627629	Registered
WZ Franchise, LLC / Wing Zone	Las Vegas, NV	1/15/2021	627628	Registered
ANNEX BRANDS INC / Annex Brands Retail Centers	SAN DIEGO, CA	1/14/2021	627627	Registered
AnswerGroup Franchise, LLC / AnswerCare	Cleveland, OH	1/14/2021	627626	Registered
FASTFRAME USA INC / Fastframe	Los Angeles, CA	1/14/2021	627625	Registered
MARBLE SLAB FRANCHISING, LLC / Marble Slab Creamery	Atlanta, GA	1/12/2021	627622	Registered
GAC FRANCHISING, LLC / Great American Cookies	Atlanta, GA	1/12/2021	627619	Registered

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Qdoba Restaurant Corporation / "Qdoba" "Qdoba Mexican Eats" "Qdoba Mexican Grill"	San Diego, CA	1/11/2021	627618	Registered
SB Acquisition, LLC / Pizza Pit	Monona, WI	1/11/2021	627617	Registered
DISCOVERY MAP INTERNATIONAL, INC. / Discovery Map	Waitsfield, VA	1/11/2021	627616	Registered
Shoot 360 Nation LLC / Shoot 360	Vancouver, WA	1/11/2021	627614	Registered
Number of Franchise Filings: 12				