

**WI Securities Law and Franchise Law Filings  
for 1/10/2021 through 1/16/2021**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Investment Managers Series Trust II AXS Merger Fund Class I	Milwaukee, WI	1/15/2021	862657-03	Pending-Cleared
Investment Managers Series Trust II AXS Merger Fund Investor Class	Milwaukee, WI	1/15/2021	862658-03	Pending-Cleared
<b>Number of Investment Company Filings: 2</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Aryv Inc.	Janesville, WI	1/15/2021	862575-07	Filed
GenoPalate, Inc.	Wauwatosa, WI	1/15/2021	862558-07	Filed
Tevetron Capital Group LLC	Madison, WI	1/11/2021	862552-07	Filed
<b>Number of Regulation D Notice Filings: 3</b>				

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SB Acquisition, LLC / Pizza Pit	Monona, WI	1/11/2021	627617	Registered
<b>Number of Franchise Filings: 1</b>				