

**WI Securities Law and Franchise Law Filings
for 1/24/2021 through 1/30/2021**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Nationwide Mutual Funds Nationwide GQG US Quality Equity Fund Class A	West Allis, WI	1/25/2021	862734-03	Filed
Nationwide Mutual Funds Nationwide GQG US Quality Equity Fund Class R6	West Allis, WI	1/25/2021	862735-03	Filed
Nationwide Mutual Funds Nationwide GQG US Quality Equity Fund Eagle Class	West Allis, WI	1/25/2021	862737-03	Filed
Nationwide Mutual Funds Nationwide GQG US Quality Equity Fund Institutional Service Class	West Allis, WI	1/25/2021	862736-03	Filed
Number of Investment Company Filings: 4				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
F Street 4949, LLC	Milwaukee, WI	1/29/2021	862796-07	Filed
MLG Private Fund V LLC	Brookfield, WI	1/29/2021	862792-07	Filed
Number of Regulation D Notice Filings: 2				