

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/31/2021 through 2/6/2021**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Black Creek Diversified Property Fund, Inc.	Denver, CO	2/5/2021	862846-30	Pending-Cleared
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2054 60/40 Asset Allocation Portfolio, Series 2021-1Q	Monument, CO	2/5/2021	862928-05	Pending-Cleared
FT 9116 Sabrient Baker's Dozen Portfolio, 1st Quarter 2021 Series	Wheaton, IL	2/5/2021	862912-05	Pending-Cleared
FT 9121 Equity Income Select Portfolio, Series 68	Wheaton, IL	2/5/2021	862913-05	Pending-Cleared
FT 9122 Strategic Income Advantage Select, Closed-End Portfolio, Series 96	Wheaton, IL	2/5/2021	862909-05	Pending-Cleared
FT 9123 U.S. Revenue Portfolio, Series 19	Wheaton, IL	2/5/2021	862910-05	Pending-Cleared
FT 9127 Digital Gaming and Technology Portfolio, Series 2	Wheaton, IL	2/5/2021	862911-05	Pending-Cleared
FT 9128 Precious Metals Select Portfolio, Series 47	Wheaton, IL	2/5/2021	862915-05	Pending-Cleared
FT 9129 Dividend Edge Portfolio, Series 17	Wheaton, IL	2/5/2021	862917-05	Pending-Cleared
FT 9130 Health Care Select Portfolio, Series 61	Wheaton, IL	2/5/2021	862919-05	Pending-Cleared
FT 9139 Municipal Advantage Closed-End and ETF Portfolio, Series 64	Wheaton, IL	2/5/2021	862916-05	Pending-Cleared
FT 9145 Wedbush Equity Ideas 2021 Trust	Wheaton, IL	2/5/2021	862918-05	Pending-Cleared
FT 9191 Cboe Vest Large Cap Buffered Portfolio, Series 15	Wheaton, IL	2/5/2021	862914-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Guggenheim Defined Portfolios, Series 2088 US High Dividend Strategy Portfolio, Series 39	Chicago, IL	2/5/2021	862920-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2089 SMid Dividend Strength Portfolio, Series 15	Chicago, IL	2/5/2021	862921-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2090 S&P Dividend Aristocrats Select 25 Strategy Portfolio, Series 22	Chicago, IL	2/5/2021	862922-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2090 US 50 Dividend Strategy Portfolio, Series 27	Chicago, IL	2/5/2021	862923-05	Pending-Cleared
Morgan Stanley Portfolios, Series 46, Global Best Business Models, Series 3	Purchase, NY	2/5/2021	862924-05	Filed
SmartTrust 504 Defensive 50 Equities Trust, Series 26	Parsippany, NJ	2/5/2021	862925-05	Pending-Cleared
SmartTrust 504 Dorsey Wright® International Momentum Trust, Series 22	Parsippany, NJ	2/5/2021	862926-05	Pending-Cleared
SmartTrust 504 Smart Ten Trust, Series 23	Parsippany, NJ	2/5/2021	862927-05	Pending-Cleared
Johnson Mutual Funds Trust Johnson Enhanced Return Fund	Cincinnati, OH	2/3/2021	862845-03	Filed
American Beacon Funds American Beacon Continuous Capital Emerging Markets Fund A Class	Irving, TX	2/2/2021	862819-03	Filed
American Beacon Funds American Beacon Continuous Capital Emerging Markets Fund C Class	Irving, TX	2/2/2021	862818-03	Filed
Janus Investment Fund Janus Henderson Global Sustainable Equity Fund Class R Shares	Denver, CO	2/2/2021	862820-03	Filed
Neiman Fund Advisors Capital Small/Mid Cap Fund	Williamsville, NY	2/2/2021	862843-03	Filed
Neiman Fund Advisors Capital Tactical Fixed Income Fund	Williamsville, NY	2/2/2021	862842-03	Filed
Neiman Fund Advisors Capital US Dividend Fund	Williamsville, NY	2/2/2021	862844-03	Filed
American Century ETF Trust Avantis Core Fixed Income Fund Institutional Class	Kansas City, MO	2/1/2021	862775-03	Filed
American Century ETF Trust Avantis Core Municipal Fixed Income Fund Institutional Class	Kansas City, MO	2/1/2021	862773-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
American Century ETF Trust Avantis Short-Term Fixed Income Fund Institutional Class	Kansas City, MO	2/1/2021	862774-03	Filed
Number of Investment Company Filings: 30				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ARME Group, Inc.	Waukesha, WI	2/5/2021	862903-07	Filed
Backstage Capital Accelerator Fund I, LP	Los Angeles, CA	2/5/2021	862893-07	Filed
Ballpark Venture, LLC	Northridge, CA	2/5/2021	862906-07	Filed
BBH Wealth Strategies, LLC - Valley Forge Large Cap Equity Series	Wall Street, NY	2/5/2021	862875-07	Filed
BDP Montclair 2019 LLC	Montclair, NJ	2/5/2021	862895-07	Filed
Boxabl Inc.	Las Vegas, NV	2/5/2021	862879-07	Filed
Cadre Direct Access Fund LP	New York, NY	2/5/2021	862886-07	Filed
Coatue CT 93 LLC	New York, NY	2/5/2021	862874-07	Filed
Community Capital Bancorp, Inc.	Waukesha, WI	2/5/2021	862887-07	Filed
Cresset Partners BDC Fund I, LLC	Chicago, IL	2/5/2021	862892-07	Filed
Deloitte & Touche LLP	New York, NY	2/5/2021	862896-07	Filed
Deloitte Consulting LLP	New York, NY	2/5/2021	862897-07	Filed
Deloitte LLP	New York, NY	2/5/2021	862899-07	Filed
Deloitte Tax LLP	New York, NY	2/5/2021	862898-07	Filed
EIP Deep Decarbonization Frontier Fund I LP	New York, NY	2/5/2021	862904-07	Filed
Fifth Avenue Private Equity 16 LLC	New York, NY	2/5/2021	862891-07	Filed
GE Fund IV, a series of AX-RN- Funds, LP	Seattle, WA	2/5/2021	862889-07	Filed
Hempfusion Wellness Inc.	Sebastopol, CA	2/5/2021	862890-07	Filed
Hydro Stores Investmetn Corp I LLC	New York, NY	2/5/2021	862902-07	Filed
LO Fund I, a series of Angellist- Forefront Venture Partners- Funds, LP	Seattle, WA	2/5/2021	862900-07	Filed
MCG Madison Ridge Investors Pref, LLC	Charlotte, NC	2/5/2021	862878-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MCG Madison Trails Investors Pref, LLC	Charlotte, NC	2/5/2021	862877-07	Filed
Monroe Capital Private Credit (Delaware) Feeder Fund IV LP	Chicago, IL	2/5/2021	862905-07	Filed
MVP ES Fund LXXXIII LLC	Austin, TX	2/5/2021	862885-07	Filed
Nanban 2020 Perpetual Income Fund LLC	Frisco, TX	2/5/2021	862884-07	Filed
OC Gaingels Fund I, a series of Zachary Ginsburg Funds, LP	Seattle, WA	2/5/2021	862883-07	Filed
Osisko Development Corp.	Montreal, QC	2/5/2021	862876-07	Filed
PE Gaingels Fund I, a series of Zachary Ginsburg Funds, LP	Seattle, WA	2/5/2021	862901-07	Filed
PivotalPath, Inc.	New York, NY	2/5/2021	862881-07	Filed
Revolution Global, LLC	Chicago, IL	2/5/2021	862882-07	Filed
Trust Me Vodka, Inc.	Carlsbad, CA	2/5/2021	862880-07	Filed
Wicker Park Alpha LLC	Chicago, IL	2/5/2021	862894-07	Filed
WRE Hermitage LLC	Salt Lake City, UT	2/5/2021	862888-07	Filed
EWM Alternative Investments SPV, LLC-Series 7-Unicorn Tech Fund II	Appleton, WI	2/2/2021	862873-07	Filed
Number of Regulation D Notice Filings: 34				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
HB Franchises, LLC / Heaven's Best	Rexburg, ID	2/5/2021	627702	Registered
JEI Learning Centers, LLC.	LOS ANGELES, CA	2/5/2021	627699	Registered
QC Franchise Group, LLC / QC Kinetix	Charlotte, NC	2/4/2021	627697	Registered
ATC Healthcare Services, LLC / ATC Healthcare Services	Lake Success, NY	2/4/2021	627696	Registered
Escapology LLC	Orlando, FL	2/2/2021	627695	Registered
Pizzeria Uno Corporation / Uno Pizzeria & Grill	Norwood, MA	2/2/2021	627694	Registered
ABM Franchising Group, LLC / TEGG	Canonsburg, PA	2/1/2021	627691	Registered
ABM Franchising Group, LLC / Linc Service	Canonsburg, PA	2/1/2021	627690	Registered
OHDF Franchise, Inc. / The Original Hot Dog Factory	Atlanta, GA	2/1/2021	627689	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Home-Grown Industries of Georgia, Inc. / Mellow Mushroom Pizza	Atlanta, GA	1/31/2021	627686	Registered
Number of Franchise Filings: 10				