

**WI Securities Law and Franchise Law Filings
for 1/31/2021 through 2/6/2021**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Investment Company Filings: 0				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ARME Group, Inc.	Waukesha, WI	2/5/2021	862903-07	Filed
Community Capital Bancorp, Inc.	Waukesha, WI	2/5/2021	862887-07	Filed
EWM Alternative Investments SPV, LLC-Series 7-Unicorn Tech Fund II	Appleton, WI	2/2/2021	862873-07	Filed
Number of Regulation D Notice Filings: 3				