

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/7/2021 through 2/13/2021**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 9131 FTP Innovative Health Care Portfolio, Series 2	Wheaton, IL	2/12/2021	862965-05	Pending-Cleared
FT 9132 Innovative Health Care Portfolio, Series 7	Wheaton, IL	2/12/2021	862966-05	Pending-Cleared
FT 9133 Senior Loan & Limited Duration Closed-End Portfolio, Series 99	Wheaton, IL	2/12/2021	862967-05	Pending-Cleared
FT 9134 Dividend Strength Opportunity Portfolio, Series 16	Wheaton, IL	2/12/2021	862976-05	Pending-Cleared
FT 9148 Covered Call Select Closed-End Portfolio, Series 80	Wheaton, IL	2/12/2021	862968-05	Pending-Cleared
FT 9149 Municipal Income Opportunities Closed-End Portfolio, Series 66	Wheaton, IL	2/12/2021	862969-05	Pending-Cleared
FT 9150 Capital Strength Opportunity Portfolio, Series 17	Wheaton, IL	2/12/2021	862975-05	Pending-Cleared
FT 9154 Financials Select Portfolio, Series 75	Wheaton, IL	2/12/2021	862971-05	Pending-Cleared
FT 9155 Utilities Select Portfolio, Series 58	Wheaton, IL	2/12/2021	862972-05	Pending-Cleared
FT 9156 SMid High Dividend Portfolio, Series 41	Wheaton, IL	2/12/2021	862973-05	Pending-Cleared
FT 9157 Stonebridge Preferred Income Portfolio, Series 31	Wheaton, IL	2/12/2021	862974-05	Pending-Cleared
FT 9195 Dividend & Income Select Closed-End Portfolio, Series 85	Wheaton, IL	2/12/2021	862970-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Guggenheim Defined Portfolios, Series 2085 Corporate High Yield & Income Portfolio of CEFs, Series 39	Chicago, IL	2/12/2021	862977-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2091 US Low Volatility Strategy Portfolio, Series 34	Chicago, IL	2/12/2021	862978-05	Pending-Cleared
Invesco Unit Trusts, Series 2108:Dividend Sustainability Portfolio 2021-1	Downers Grove, IL	2/12/2021	862931-05	Filed
Invesco Unit Trusts, Series 2108:Global Dividend Sustainability Portfolio 2021-1	Downers Grove, IL	2/12/2021	862932-05	Filed
Invesco Unit Trusts, Series 2108:International Dividend Sustainability Portfolio 2021-1	Downers Grove, IL	2/12/2021	862933-05	Filed
Invesco Unit Trusts, Series 2109:Balanced Dividend Sustainability & Income Portfolio 2021-1	Downers Grove, IL	2/12/2021	862930-05	Filed
Invesco Unit Trusts, Series 2110 Dividend Sustainability CDA Portfolio 2021-1	Downers Grove, IL	2/12/2021	862929-05	Filed
Morgan Stanley Portfolios, Series 47, Optimized Equity Dividend Strategy, Series 13	Purchase, NY	2/12/2021	862981-05	Pending-Cleared
Revival AI Inc.	Parker, CO	2/12/2021	862988-25	Filed
SmartTrust 505 Argus Modern Innovators Trust, Series 8	Parsippany, NJ	2/12/2021	862979-05	Pending-Cleared
SmartTrust 505 E-Commerce Ecosystem Trust, Series 8	Parsippany, NJ	2/12/2021	862980-05	Pending-Cleared
Waverly Labs Inc.	Brooklyn, NY	2/12/2021	862987-25	Filed
ALPS Series Trust Clarkston Founders Fund Founders Class	Denver, CO	2/11/2021	862907-03	Pending-Cleared
The UBS Funds UBS Engage For Impact Fund Class P2	Chicago, IL	2/11/2021	862908-03	Filed
Wisconsin Capital Funds, Inc. Plumb Balanced Fund Class A Shares	Milwaukee, WI	2/8/2021	862870-03	Filed
Wisconsin Capital Funds, Inc. Plumb Equity Fund Class A Shares	Milwaukee, WI	2/8/2021	862871-03	Filed
<b>Number of Investment Company Filings: 28</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
603 South Mariposa QOF, LLC	Seattle, WA	2/12/2021	862957-07	Filed
Amberwood Hills Apartments, LLC	Orlando, FL	2/12/2021	862951-07	Filed
Americas Gold & Silver Corp	Toronto, ON	2/12/2021	862997-07	Filed
BIP Capital 2080 Senior Debt Fund III, LLC	Atlanta, GA	2/12/2021	862961-07	Filed
Brown Advisory Invetors 2020 - AVF V, LLLP	Baltimore, MD	2/12/2021	862938-07	Filed
BTR 061, a series of SAX Capital Series Fund III, LP	Seattle, WA	2/12/2021	862995-07	Filed
CCMP Capital Investors (Parallel Individuals) IV, L.P.	New York, NY	2/12/2021	862996-07	Filed
Crown Shamrock #2 Joint Venture	Carrollton, TX	2/12/2021	862998-07	Filed
Emano Metrics Inc.	Eugene, OR	2/12/2021	862953-07	Filed
Encore Energy, Inc. (NV)	Miami, FL	2/12/2021	862959-07	Filed
F Street Appleton 1, LLC	Milwaukee, WI	2/12/2021	862956-07	Filed
Fairway Village LLC	Tucker, GA	2/12/2021	862952-07	Filed
Frankford Springs Apartments, LP	New York, NY	2/12/2021	862958-07	Filed
Full Circle Development II LLC	Indianapolis, IN	2/12/2021	862992-07	Filed
Futaleufu Partners IV LLC	Millis, MA	2/12/2021	862943-07	Filed
GM Cruise Holdings LLC	San Francisco, CA	2/12/2021	862934-07	Filed
InCommercial Net Lease DST 1	Chicago, IL	2/12/2021	862946-07	Filed
Kubos Corp	Lake Oswego, OR	2/12/2021	862964-07	Filed
Malt Brands, LLC	Austin, TX	2/12/2021	862939-07	Filed
Manor CS, LLC	Washington, DC	2/12/2021	862960-07	Filed
Marbella Place Investors LP	Vienna, VA	2/12/2021	862955-07	Filed
Medical Ingenuities, LLC	Wheaton, IL	2/12/2021	862945-07	Filed
Mesirow Financial Real Estate Value Fund IV, L.P.	Chicago, IL	2/12/2021	862963-07	Filed
MVP LS Fund CXCII LLC	Austin, TX	2/12/2021	862947-07	Filed
N 28 Partners, LLC	Los Gatos, CA	2/12/2021	862993-07	Filed
NAM Special Situations Fund I QP, LLC - Series F1	New York, NY	2/12/2021	862940-07	Filed
Oaktree Real Estate Opportunities Fund VIII, L.P.	Los Angeles, CA	2/12/2021	862954-07	Filed
Ocient Holdings LLC	Chicago, IL	2/12/2021	862937-07	Filed
Ocient Inc.	Chicago, IL	2/12/2021	862936-07	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Pomp Investments, LP - A2	Seattle, WA	2/12/2021	862948-07	Filed
RK Pointe at Research Park DST	Long Beach, CA	2/12/2021	862944-07	Filed
Shaan s All Access Fund, LP - A2	Seattle, WA	2/12/2021	862949-07	Filed
SHL Capital, LP - A3	Seattle, WA	2/12/2021	862950-07	Filed
Skipplit Inc.	Conifer, CO	2/12/2021	862991-07	Filed
Socially Determined, Inc.	Washington, DC	2/12/2021	862962-07	Filed
Stuffed Puffs, LLC	Bethlehem, PA	2/12/2021	862941-07	Filed
Stuffed Puffs, LLC	Bethlehem, PA	2/12/2021	862942-07	Filed
TA Fund I, a series of FZM Opportunities, LP	Seattle, WA	2/12/2021	862994-07	Filed
Tidal Petroleum Inc.	Schertz, TX	2/12/2021	862990-07	Filed
Vista 121 Apartments, LP	New York, NY	2/12/2021	862935-07	Filed
ZE Fund II, a series of Zachary Ginsburg Funds, LP	Seattle, WA	2/12/2021	862989-07	Filed
<b>Number of Regulation D Notice Filings: 41</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
STARCYLE FRANCHISE, LLC / STARCYLE	Lake Oswego, OR	2/13/2021	627717	Registered
Sprinkles Franchise Group LLC / Sprinkles Cupcakes	Austin, TX	2/13/2021	627716	Registered
FRSTeam, LLC / FRSTeam	Alpharetta, GA	2/12/2021	627715	Registered
Snap-on Tools Company LLC / Snap-on Tools	KENOSHA, WI	2/12/2021	627713	Registered
Humbled Hustle Inc. / LeanFeast	Conway, AR	2/12/2021	627712	Registered
GH Franchise, LLC / Gamers Heaven	Phoenixville, UT	2/11/2021	627709	Registered
BAB SYSTEMS INC / BIG APPLE BAGELS	DEERFIELD, IL	2/8/2021	627705	Registered
<b>Number of Franchise Filings: 7</b>				