

**WI Securities Law and Franchise Law Filings  
for 2/7/2021 through 2/13/2021**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Wisconsin Capital Funds, Inc. Plumb Balanced Fund Class A Shares	Milwaukee, WI	2/8/2021	862870-03	Filed
Wisconsin Capital Funds, Inc. Plumb Equity Fund Class A Shares	Milwaukee, WI	2/8/2021	862871-03	Filed
<b>Number of Investment Company Filings: 2</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
F Street Appleton 1, LLC	Milwaukee, WI	2/12/2021	862956-07	Filed
<b>Number of Regulation D Notice Filings: 1</b>				

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Snap-on Tools Company LLC / Snap-on Tools	KENOSHA, WI	2/12/2021	627713	Registered
<b>Number of Franchise Filings: 1</b>				