

**WI Securities Law and Franchise Law Filings
for 2/21/2021 through 2/27/2021**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DoubleLine Funds Trust DoubleLine Multi-Asset Trend Fund Class I Shares	West Allis, WI	2/26/2021	863086-03	Filed
DoubleLine Funds Trust DoubleLine Multi-Asset Trend Fund Class N Shares	West Allis, WI	2/26/2021	863087-03	Filed
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Badger State Opportunity Fund, LLC	Racine, WI	2/26/2021	863226-07	Filed
F Street BD, LLC	Milwaukee, WI	2/26/2021	863190-07	Filed
Mindful Measures, Inc.	Milwaukee, WI	2/26/2021	863196-07	Filed
Number of Regulation D Notice Filings: 3				