

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 3/14/2021 through 3/20/2021**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NORCAL Mutual Insurance Company	San Francisco, CA	3/15/2021	863330-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisers Investment Trust JOHCM Credit Income Fund Class I Shares	Gahanna, OH	3/19/2021	863369-03	Pending-Cleared
Ultimus Managers Trust Q3 All-Weather Tactical Fund C Class	Cincinnati, OH	3/19/2021	863370-03	Filed
Escalate Wealth REIT I, Inc.	Newport Beach, CA	3/17/2021	863450-25	Filed
AMG Funds II AMG GW&K Enhanced Core Bond ESG Fund Class I	Greenwich, CT	3/16/2021	863327-03	Filed
T. Rowe Price International Funds, Inc. T. Rowe Price Global Impact Equity Fund	Baltimore, MD	3/16/2021	863328-03	Filed
T. Rowe Price International Funds, Inc. T. Rowe Price Global Impact Equity Fund T. Rowe Price Global Impact Equity Fund - I Class	Baltimore, MD	3/16/2021	863329-03	Filed
Mutual Fund Series Trust Eventide Exponential Technologies Fund Class C Shares	Elkhorn, NE	3/15/2021	863290-03	Filed
Prudential Investment Portfolios 8 PGIM QMA Stock Index Fund Class I	Newark, NJ	3/15/2021	863291-03	Filed
Number of Investment Company Filings: 8				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
HEALTHSOURCE CHIROPRACTIC, INC / HealthSource Chiropractic Single-Unit Clinics	Avon, OH	3/20/2021	628845	Registered
Cyberbacker International, Inc. / Cyberbacker	Ogden, UT	3/19/2021	628844	Registered
KFC US, LLC / KFC (NON-TRADITIONAL)	Louisville, KY	3/19/2021	628843	Registered
KFC US, LLC / KFC (Traditional)	Louisville, KY	3/19/2021	628842	Registered
American Poolplayers Association, Inc. / American Poolplayers Association	Lake Saint Louis, MO	3/19/2021	628841	Registered
MONICAL PIZZA CORPORATION / MONICAL'S PIZZA	BRADLEY, IL	3/19/2021	628840	Registered
EmbroidMe.com, Inc. / Fully Promoted	West Palm Beach, FL	3/19/2021	628836	Registered
NewPoint Franchisor, LLC / Homes & Land; Estates & Homes	Bee Cave, TX	3/18/2021	628834	Registered
Sperry Commercial Global Affiliates, LLC / Sperry Commercial Global Affiliates	Irvine, CA	3/18/2021	628833	Registered
Executive Home Care Franchising LLC / Executive Care Your Home Care Company	Hackensack, NJ	3/18/2021	628832	Registered
CMY Franchising, LLC / Card My Yard	Austin, TX	3/18/2021	628830	Registered
JTH Tax, LLC / Liberty Tax Service - Unit	Virginia Beach, VA	3/18/2021	628829	Registered
TeamLogic, Inc. / TeamLogicIT	Mission Viejo, CA	3/18/2021	628828	Registered
Sir Speedy, Inc. / Sir Speedy Printing	Mission Viejo, CA	3/18/2021	628827	Registered
1st Class Franchising, LLC / 1st Class Real Estate (Area Rep)	Virginia Beach, VA	3/18/2021	628826	Registered
FLOORS TO GO LLC / Floors To Go	BONITA SPRINGS, FL	3/18/2021	628824	Registered
1st Class Franchising, LLC / 1st Class Real Estate (Unit)	Virginia Beach, VA	3/18/2021	628825	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
ABBEY CARPET CO., INC. / Abbey Carpet & Floor Showrooms	BONITA SPRINGS, FL	3/18/2021	628823	Registered
COUNTRY VISIONS, INC / Apricot Lane	VACAVILLE, CA	3/17/2021	628822	Registered
Bento Sushi Franchise, Inc. / Bento Sushi	Markham, ON	3/17/2021	628820	Registered
Schmizza International, Inc. / Pizza Schmizza	Salem, OR	3/17/2021	628821	Registered
Brew Culture Franchise, LLC / 7 BREW	Fayetteville, AR	3/17/2021	628818	Registered
WEICHERT REAL ESTATE AFFILIATES INC / Weichert	MORRIS PLAINS, NJ	3/16/2021	628817	Registered
Figaro's Italian Pizza, Inc. / Figaro's and Nick-N-Willy's	Salem, OR	3/16/2021	628816	Registered
Kid to Kid Franchise System, Inc. / Joslin Wright	North Salt Lake, UT	3/16/2021	628815	Registered
Blue Eagle Franchising, LLC / Blue Eagle Investigation	Overland Park, KS	3/16/2021	628814	Registered
Kitchen Refresh Franchising, LLC / Kitchen Refresh	Bismarck, ND	3/15/2021	628805	Registered
WOODCRAFT FRANCHISE, LLC / WOODCRAFT	PARKERSBURG, WV	3/15/2021	628810	Registered
Restoration Specialties Franchise Group, LLC / ERS, ART, TEX, DFD	Livonia, MI	3/15/2021	628811	Registered
Winmark Corporation / Style Encore	Minneapolis, MN	3/15/2021	628809	Registered
Winmark Corporation / Plato's Closet	Minneapolis, MN	3/15/2021	628808	Registered
Winmark Corporation / Music Go Round	Minneapolis, MN	3/15/2021	628807	Registered
WINMARK CORP / Once Upon A Child Franchise Program	MINNEAPOLIS, MN	3/15/2021	628806	Registered
Winmark Corporation / Play It Again Sports	Minneapolis, MN	3/15/2021	628804	Registered
Trident Investment Partners, Inc. / Handyman Connection	Blue Ash, OH	3/15/2021	628802	Registered
Number of Franchise Filings: 35				