

**WI Securities Law and Franchise Law Filings
for 3/28/2021 through 4/3/2021**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Series Trust First Sentier American Listed Infrastructure Fund Class I	Milwaukee, WI	4/1/2021	863520-03	Filed
Aspiriant Risk-Managed Capital Appreciation Fund	Milwaukee, WI	3/30/2021	863622-59	Filed
Aspiriant Risk-Managed Real Asset Fund	Milwaukee, WI	3/30/2021	863621-59	Filed
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
CULVER FRANCHISING SYSTEM LLC / Culver's	PRAIRIE DU SAC, WI	3/30/2021	629019	Registered
Batteries Plus, L.L.C. / Batteries Plus	Hartland, WI	3/29/2021	628963	Registered
Number of Franchise Filings: 2				