

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/4/2021 through 4/10/2021**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Mission Investment Fund of the Evangelical Lutheran Church in America	Chicago, IL	4/6/2021	863627-02	Registered
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2061 Bahl & Gaynor Large Cap Quality Growth Portfolio, Series 2021-1Q	Monument, CO	4/9/2021	863640-05	Pending-Cleared
FT 9240 FT Equity Allocation ETF Model Portfolio, 2Q '21	Wheaton, IL	4/9/2021	863633-05	Pending-Cleared
FT 9241 FT High Income Model Portfolio, 2Q '21	Wheaton, IL	4/9/2021	863634-05	Pending-Cleared
FT 9242 FT Short Duration Fixed Income Model Portfolio, 2Q '21	Wheaton, IL	4/9/2021	863635-05	Pending-Cleared
FT 9249 Convertible & Income Select Closed-End and ETF Portfolio, Series 6	Wheaton, IL	4/9/2021	863628-05	Pending-Cleared
FT 9251 Top Picks March 2021 Portfolio	Wheaton, IL	4/9/2021	863632-05	Pending-Cleared
FT 9252 Inflation Hedge Portfolio, Series 44	Wheaton, IL	4/9/2021	863629-05	Pending-Cleared
FT 9258 Senior Loan Closed-End and ETF Portfolio, Series 34	Wheaton, IL	4/9/2021	863630-05	Pending-Cleared
FT 9277 Market Strength Allocation Select Portfolio, Series 44	Wheaton, IL	4/9/2021	863636-05	Pending-Cleared
FT 9278 FTP American Recovery Portfolio, Series 3	Wheaton, IL	4/9/2021	863637-05	Pending-Cleared
FT 9328 Municipal Income Select, Closed-End Portfolio, Series 121	Wheaton, IL	4/9/2021	863631-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Guggenheim Defined Portfolios, Series 2111 Global Balanced Income Builder Portfolio, Series 17	Chicago, IL	4/9/2021	863641-05	Pending-Cleared
Invesco Unit Trusts, Series 2121 Closed-End Strategy: Covered Call Income Portfolio 2021-2	Downers Grove, IL	4/9/2021	863642-05	Filed
Invesco Unit Trusts, Series 2121 Closed-End Strategy: Master Income Portfolio 2021-2	Downers Grove, IL	4/9/2021	863645-05	Filed
Invesco Unit Trusts, Series 2121 Closed-End Strategy: Master Municipal Income Portfolio - National Series 2021-2	Downers Grove, IL	4/9/2021	863643-05	Filed
Invesco Unit Trusts, Series 2121 Closed-End Strategy: Value Equity and Income Portfolio 2021-2	Downers Grove, IL	4/9/2021	863644-05	Filed
Invesco Unit Trusts, Series 2122 Closed-End Strategy: Select Opportunity Portfolio 2021-2	Downers Grove, IL	4/9/2021	863647-05	Filed
Invesco Unit Trusts, Series 2122 Closed-End Strategy: Senior Loan and Limited Duration Portfolio 2021-2	Downers Grove, IL	4/9/2021	863646-05	Filed
Invesco Unit Trusts, Series 2123 EAFE Select 20 Portfolio 2021-2	Downers Grove, IL	4/9/2021	863721-05	Filed
Invesco Unit Trusts, Series 2123 Global 45 Dividend Strategy Portfolio 2021-2	Downers Grove, IL	4/9/2021	863723-05	Filed
Invesco Unit Trusts, Series 2123 Select S&P Core Portfolio 2021-2	Downers Grove, IL	4/9/2021	863724-05	Filed
Invesco Unit Trusts, Series 2123 Select S&P Industrial Portfolio 2021-2	Downers Grove, IL	4/9/2021	863725-05	Filed
Invesco Unit Trusts, Series 2123 The Dow Jones Select Dividend Index Strategy Portfolio 2021-2	Downers Grove, IL	4/9/2021	863722-05	Filed
Invesco Unit Trusts, Series 2124 Dividend Income & Value Portfolio 2021-2	Downers Grove, IL	4/9/2021	863726-05	Filed
Invesco Unit Trusts, Series 2125 NASDAQ-100 Growth Leaders Portfolio 2021-2	Downers Grove, IL	4/9/2021	863651-05	Filed
Invesco Unit Trusts, Series 2126 All Cap Core Strategy 2021-2	Downers Grove, IL	4/9/2021	863652-05	Filed

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Firm Name	Location	Date Received	File Number	Status
Invesco Unit Trusts, Series 2126 Dividend Income Leaders Strategy Portfolio 2021-2	Downers Grove, IL	4/9/2021	863717-05	Filed
Invesco Unit Trusts, Series 2126 Large Cap Core Strategy 2021-2	Downers Grove, IL	4/9/2021	863718-05	Filed
Invesco Unit Trusts, Series 2126 Mid Cap Core Strategy 2021-2	Downers Grove, IL	4/9/2021	863719-05	Filed
Invesco Unit Trusts, Series 2126 PowerPicks Portfolio 2021-2	Downers Grove, IL	4/9/2021	863720-05	Filed
Invesco Unit Trusts, Series 2126 Small Cap Core Strategy 2021-2	Downers Grove, IL	4/9/2021	863648-05	Filed
Invesco Unit Trusts, Series 2127 American Infrastructure Growth Portfolio 2021-2	Downers Grove, IL	4/9/2021	863649-05	Pending-Cleared
Invesco Unit Trusts, Series 2127 Buyback Leaders Portfolio 2021-2	Downers Grove, IL	4/9/2021	863650-05	Pending-Cleared
SmartTrust 513 High 20 Dividend Strategy Trust, Series 28	Parsippany, NJ	4/9/2021	863638-05	Pending-Cleared
SmartTrust 513 Tax Free Income Trust, Series 37	Parsippany, NJ	4/9/2021	863639-05	Pending-Cleared
Delaware Wilshire Private Markets Fund Institutional Class Shares	Oaks, PA	4/8/2021	863618-59	Filed
GMO Trust GMO Emerging Domestic Opportunities Fund Class I	Boston, MA	4/7/2021	863575-03	Filed
GMO Trust GMO Global Asset Allocation Fund Class I	Boston, MA	4/7/2021	863577-03	Filed
GMO Trust GMO Global Equity Allocation Fund Class I	Boston, MA	4/7/2021	863576-03	Filed
GMO Trust GMO Global Equity Allocation Fund Class R6	Boston, MA	4/7/2021	863574-03	Filed
BlackRock Hedge Fund Guided Portfolio Solution Class A Shares	Wilmington, DE	4/5/2021	863531-59	Filed
BlackRock Hedge Fund Guided Portfolio Solution Class I Shares	Wilmington, DE	4/5/2021	863532-59	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2005 Fund Premier Class	Boston, MA	4/5/2021	863542-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2010 Fund Premier Class	Boston, MA	4/5/2021	863540-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2015 Fund Premier Class	Boston, MA	4/5/2021	863543-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2020 Fund Premier Class	Boston, MA	4/5/2021	863545-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2025 Fund Premier Class	Boston, MA	4/5/2021	863541-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2030 Fund Premier Class	Boston, MA	4/5/2021	863539-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2035 Fund Premier Class	Boston, MA	4/5/2021	863538-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2040 Fund Premier Class	Boston, MA	4/5/2021	863536-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2045 Fund Premier Class	Boston, MA	4/5/2021	863537-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2050 Fund Premier Class	Boston, MA	4/5/2021	863535-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2055 Fund Premier Class	Boston, MA	4/5/2021	863534-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2060 Fund Premier Class	Boston, MA	4/5/2021	863533-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2065 Fund Premier Class	Boston, MA	4/5/2021	863546-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend Income Fund Premier Class	Boston, MA	4/5/2021	863544-03	Filed
The Advisors` Inner Circle Fund II Sprucegrove International Equity Fund Institutional Class Shares	Oaks, PA	4/5/2021	863528-03	Filed
The Advisors` Inner Circle Fund II Sprucegrove International Equity Fund Investor Class Shares	Oaks, PA	4/5/2021	863529-03	Filed
USAA MUTUAL FUNDS TRUST Tax Exempt Intermediate-Term Fund Class Z	San Antonio, TX	4/5/2021	863530-03	Filed
Number of Investment Company Filings: 59				

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AION Prosthetics Corp.	Lincoln, NE	4/9/2021	863673-07	Filed
Algorithm Sciences, Inc.	New York, NY	4/9/2021	863670-07	Filed
APO Douglas Place, LLC	Houston, TX	4/9/2021	863664-07	Filed
Budd Lake New Jersey L.P.	New York, NY	4/9/2021	863658-07	Filed
CA Fund II, a series of Parade VC, LP	Seattle, WA	4/9/2021	863665-07	Filed
Curql Fund I, LLLP	Des Moines, IA	4/9/2021	863657-07	Filed
CWRP SR Cancer Center Investors, LLC	Rancho Stanta Margarita, CA	4/9/2021	863677-07	Filed
EIP Elevate Diversity Impact Fund I LP	New York, NY	4/9/2021	863671-07	Filed
ExchangeRight Net-Leased Portfolio 45 DST	Pasadena, CA	4/9/2021	863675-07	Filed
Frazier Healthcare Growth Buyout Fund X, L.P.	Seattle, WA	4/9/2021	863656-07	Filed
FSC Industrial 6 DST	Lake Como, NJ	4/9/2021	863681-07	Filed
H.I.G. WhiteHorse Direct Lending Fund - 2020, L.P.	Miami, FL	4/9/2021	863674-07	Filed
HCP Private Equity Fund X, L.P.	San Francisco, CA	4/9/2021	863661-07	Filed
HCP Private Equity Fund X-A, L.P.	San Francisco, CA	4/9/2021	863660-07	Filed
JR Resources Corp.	Vancouver, BC	4/9/2021	863662-07	Filed
Lake Huron Investments, LLC	Fort Worth, OK	4/9/2021	863679-07	Filed
Marshall Reddick Mortgage Fund, LLC	Newport Beach, CA	4/9/2021	863676-07	Filed
ME Fund I, a series of DV Collective, LP	Seattle, WA	4/9/2021	863678-07	Filed
Mirus Market, LLC	Verona, WI	4/9/2021	863682-07	Filed
Municipal Parking Services, Inc.	Minnetonka, MN	4/9/2021	863663-07	Filed
Napali Spartanburg I, LLC	Roanoke, TX	4/9/2021	863668-07	Filed
Port Chester Apts, LLC	Chicago, IL	4/9/2021	863654-07	Filed
Public Equity Tail Hedge Portfolio, L.P.	San Francisco, CA	4/9/2021	863659-07	Filed
RHF III Holding Company, LLC	San Francisco, CA	4/9/2021	863669-07	Filed
SE Fund I, a series of FJ Labs Funds, LP	Seattle, WA	4/9/2021	863667-07	Filed
Social Leverage Capital Fund IV, L.P.	Scottsdale, AZ	4/9/2021	863672-07	Filed
Stem Holdings, Inc.	Boca Raton, FL	4/9/2021	863666-07	Filed
Tidal Petroleum Inc.	Selma, TX	4/9/2021	863680-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Vanguard Farms International Inc.	Burleson, TX	4/9/2021	863653-07	Filed
Western Wealth Capital LX US Investor, LP	Phoenix, AZ	4/9/2021	863655-07	Filed
Agitated Solutions, Inc.	Oakdale, MN	4/8/2021	863626-07	Filed
North Peak Capital Partners II, LP	New York, NY	4/6/2021	863625-07	Filed
Number of Regulation D Notice Filings: 32				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Cookie Advantage, Inc. / Cookie Advantage	Bixby, OK	4/9/2021	629251	Registered
Fleet Feet, Incorporated / Fleet Feet	Carrboro, NC	4/9/2021	629252	Registered
Vital Care Infusion Services, LLC / Vital Care	Meridian, MS	4/9/2021	629250	Registered
KS La Crosse Investments, LLC / Kitchen Solvers	La Crosse, WI	4/9/2021	629248	Registered
Supporting Strategies Partners, LLC / Supporting Strategies	Beverly, MA	4/9/2021	629247	Registered
Hissho International, Inc. / Hissho Sushi, Oumi Sushi, Sushi with Gusto	Charlotte, NC	4/9/2021	629246	Registered
Jimmy John's Franchisor SPV, LLC / Jimmy John's	Atlanta, GA	4/9/2021	629245	Registered
MW EQUITY, INC. / MyWay Mobile Storage	Grand Rapids, MI	4/9/2021	629243	Registered
COAST TO COAST ENGINEERING SERVICES INC / Criterium Engineers	Freeport, ME	4/9/2021	629241	Registered
PSP Franchising, LLC / Pet Supplies Plus	Livonia, MI	4/9/2021	629242	Registered
Bubbakoo's Franchise Systems, LLC / Bubbakoo's Burritos	Wall, NJ	4/9/2021	629239	Registered
Nutrishop, Inc. / Nutrishop; Nutrishop Store	Henderson, NV	4/8/2021	629238	Registered
Crumbl Franchising, LLC / Crumbl	Orem, UT	4/8/2021	629237	Registered
Motto Franchising, LLC / Motto Mortgage	Denver, CO	4/8/2021	629235	Registered
Hospitality International, Inc.	Tucker, GA	4/8/2021	629234	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
Grout Doctor Global Franchise Corp / Grout Doctor	Salt Lake City, UT	4/8/2021	629233	Registered
CP Franchising, LLC / Cruise Planners	Coral Springs, FL	4/8/2021	629232	Registered
Little Caesar Enterprises, Inc. / Little Caesars	Detroit, MI	4/8/2021	629231	Registered
Chick-fil-A, Inc. / "Chick-fil-A" or "Chick-fil-A, Inc."	Atlanta, GA	4/8/2021	629230	Registered
Chick-fil-A, Inc. / "Chick-fil-A" or "Chick-fil-A, Inc."	Atlanta, GA	4/8/2021	629229	Registered
Playa Bowls Franchisor LLC / Playa Bowls	East Brunswick, NJ	4/8/2021	629228	Registered
Window Genie SPV LLC / Window Genie	Waco, TX	4/7/2021	629227	Registered
ShelfGenie SPV LLC / ShelfGenie	Atlanta, GA	4/7/2021	629226	Registered
Real Property Management SPV LLC / Real Property Management	Waco, TX	4/7/2021	629225	Registered
Rainbow International SPV LLC / Rainbow International	Waco, TX	4/7/2021	629224	Registered
Precision Door Service SPV LLC / Precision Door Service	TITUSVILLE, FL	4/7/2021	629223	Registered
Mr. Rooter SPV LLC / Mr. Rooter	WACO, TX	4/7/2021	629222	Registered
Mr. Handyman SPV LLC / Mr. Handyman	Waco, TX	4/7/2021	629221	Registered
Mr. Electric SPV LLC / Mr. Electric	Waco, TX	4/7/2021	629220	Registered
Mr. Appliance SPV LLC / Mr. Appliance	Waco, TX	4/7/2021	629219	Registered
Mosquito Joe SPV LLC / Mosquito Joe	Virginia Beach, VA	4/7/2021	629218	Registered
Molly Maid SPV LLC / Molly Maid	Waco, TX	4/7/2021	629217	Registered
HouseMaster SPV LLC / HouseMaster	Somerville, NJ	4/7/2021	629216	Registered
The Grounds Guys SPV LLC / The Grounds Guys	Waco, TX	4/7/2021	629215	Registered
Glass Doctor SPV LLC / Glass Doctor	Waco, TX	4/7/2021	629214	Registered
Five Star Painting SPV LLC / Five Star Painting	Waco, TX	4/7/2021	629213	Registered
Dryer Vent Wizard SPV LLC / Dryer Vent Wizard	Waco, TX	4/7/2021	629212	Registered
Aire Serv SPV LLC / Aire Serv	Waco, TX	4/7/2021	629211	Registered
Pool Scouts Franchising, LLC / Pool Scouts	Virginia Beach, VA	4/7/2021	629210	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Code Ninjas, LLC / Code Ninjas	Pearland, TX	4/7/2021	629209	Registered
Walkway Management Group, Inc. / Walkway Management Group	Carrollton, TX	4/7/2021	629208	Registered
Gimex Properties Corp., Inc. / Tuffy Auto Service Centers/Tuffy Tire & Auto Serv	Toledo, OH	4/7/2021	629207	Registered
Big Boy Franchise Management LLC	Southfield, MI	4/7/2021	629206	Registered
Conserva Irrigation Franchising, LLC / Conserva Irrigation	Glen Allen, VA	4/7/2021	629205	Registered
V'S BARBERSHOP FRANCHISE LLC / V's Barbershop	PHOENIX, AZ	4/6/2021	629203	Registered
Uptown Cheapskate, LLC / Uptown Cheapskate	North Salt Lake, UT	4/6/2021	629202	Registered
Taco John's International, Inc. / Taco John's	Cheyenne, WY	4/6/2021	629201	Registered
ABCSP, LLC / Always Best Care Senior Services	Roseville, CA	4/6/2021	629200	Registered
Realty ONE Group Affiliates, Inc. / Realty ONE Group	Laguna Niguel, CA	4/6/2021	629199	Registered
F.C. Franchising Systems, Inc. / Fresh Coat	Cincinnati, OH	4/6/2021	629198	Registered
Big Frog Custom T-Shirts, Inc. / Big Frog	Dunedin, FL	4/6/2021	629197	Registered
DL Franchising, LLC / Deka Lash	McMurray, PA	4/6/2021	629196	Registered
National Hunting Lease Network, LLC / Hunting Lease Network	Omaha, NE	4/6/2021	629195	Registered
FLOORCOVERINGS INTERNATIONAL LTD / Floor Coverings International	Norcross, GA	4/6/2021	629194	Registered
Stroller Strides, LLC / Fit4Mom	Encinitas, CA	4/6/2021	629193	Registered
Class 101, Inc. / Class 101	Lexington, KY	4/6/2021	629192	Registered
Payroll Vault Franchising, LLC / Payroll Vault	Greenwood Vilalge, CO	4/5/2021	629191	Registered
The Cornwell Quality Tools Company / Cornwell	Wadsworth, OH	4/5/2021	629159	Registered
British Swim School Franchising, LLC / British Swim School	Virginia Beach, VA	4/5/2021	629190	Registered
XP League, LLC / XP League	Pearland, TX	4/5/2021	629189	Registered
AERUS FRANCHISING, LLC / AERUS, AERUS ELECTROLUX, LUX	DALLAS, TX	4/5/2021	629188	Registered

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Mr. Goodcents Franchise Systems, Inc. / Goodcents Restaurant	De Soto, KS	4/5/2021	629187	Registered
Home Clean Heroes Franchising, LLC / Home Clean Heroes	Virginia Beach, VA	4/5/2021	629186	Registered
Phocatox Technologies, LLC / Biosweep®	Carmel, IN	4/4/2021	629185	Registered
American Decorative Coatings, LLC / Concrete Craft	Irvine, CA	4/4/2021	629184	Registered
Number of Franchise Filings: 65				