

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/11/2021 through 4/17/2021**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Anabaptist Financial	Lewisburg, PA	4/14/2021	863773-08	Not Disallowed
On Track Innovations Ltd.	Yokneam, MA	4/13/2021	863691-08	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2063 Balanced Portfolio, Series 2021-2	Monument, CO	4/16/2021	863806-05	Pending-Cleared
Advisors Disciplined Trust 2063 Biotechnology Opportunities Portfolio, Series 2021-1	Monument, CO	4/16/2021	863807-05	Pending-Cleared
Advisors Disciplined Trust 2063 Dividend Strength Portfolio, Series 2021-2 - A Hartford Investment Management Company ("HIMCO") Portfolio	Monument, CO	4/16/2021	863808-05	Pending-Cleared
Advisors Disciplined Trust 2063 Ubiquitous Opportunities Portfolio, Series 2021-1	Monument, CO	4/16/2021	863809-05	Pending-Cleared
Advisors Disciplined Trust 2063 Ubiquitous Strategy Portfolio, Series 2021-2	Monument, CO	4/16/2021	863810-05	Pending-Cleared
FT 9275 American Recovery Portfolio, Series 5	Wheaton, IL	4/16/2021	863786-05	Pending-Cleared
FT 9276 Market Strength Allocation Opportunity Portfolio, Series 17	Wheaton, IL	4/16/2021	863787-05	Pending-Cleared
FT 9279 Limited Duration Fixed Income ETF Portfolio, Series 39	Wheaton, IL	4/16/2021	863789-05	Pending-Cleared
FT 9281 Content Leaders Opportunity Portfolio, Series 19	Wheaton, IL	4/16/2021	863792-05	Pending-Cleared
FT 9282 Content Leaders Portfolio, Series 21	Wheaton, IL	4/16/2021	863793-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 9283 S&P International Dividend Aristocrats Port. 2Q '21	Wheaton, IL	4/16/2021	863796-05	Pending-Cleared
FT 9284 Senior Loan & Limited Duration Closed-End Portfolio, Series 100	Wheaton, IL	4/16/2021	863797-05	Pending-Cleared
FT 9291 Core Three Closed-End Allocation Portfolio, Series 48	Wheaton, IL	4/16/2021	863798-05	Pending-Cleared
FT 9325 Senior Loan and Dividend Growers Portfolio, Series 30	Wheaton, IL	4/16/2021	863791-05	Pending-Cleared
FT 9331 Corporate Investment Grade Laddered Portfolio, Series 16	Wheaton, IL	4/16/2021	863790-05	Pending-Cleared
FT 9332 Diversified High Income Closed-End Portfolio, Series 44	Wheaton, IL	4/16/2021	863788-05	Pending-Cleared
FT 9334 Strategic Income Select Closed-End Portfolio, Series 50	Wheaton, IL	4/16/2021	863794-05	Pending-Cleared
FT 9335 Strategic International Opportunity Portfolio, Series 17	Wheaton, IL	4/16/2021	863799-05	Pending-Cleared
FT 9377 Balanced Income Select Portfolio, Series 101	Wheaton, IL	4/16/2021	863795-05	Pending-Cleared
Future Acres, Inc.	Santa Monica, CA	4/16/2021	863714-25	Filed
Gryphon Online Safety	San Diego, CA	4/16/2021	863712-25	Filed
Guggenheim Defined Portfolios, Series 2114 Discount Opportunity Strategy Portfolio of CEFs, Series 20	Chicago, IL	4/16/2021	863802-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2114 Dow 10 Portfolio, Series 45	Chicago, IL	4/16/2021	863800-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2114 Financials Portfolio, Series 17	Chicago, IL	4/16/2021	863801-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2114 International Dividend Strategy Portfolio, Series 51	Chicago, IL	4/16/2021	863803-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2115 Convertible & Income Portfolio of Funds, Series 34	Chicago, IL	4/16/2021	863804-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2116 Covered Call & Income Portfolio of CEFs, Series 47	Chicago, IL	4/16/2021	863805-05	Pending-Cleared
M&M Media, Inc.	Stamford, CT	4/16/2021	863711-25	Filed

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m+ funds Trust m+ Buffer 20 Fund, Series 1-18	Darien, CT	4/16/2021	863727-05	Filed
Piestro, Inc.	Santa Monica, CA	4/16/2021	863713-25	Filed
AB Bond Fund, Inc. AB High Yield Portfolio Class A	New York, NY	4/15/2021	863688-03	Pending-Cleared
AB Bond Fund, Inc. AB High Yield Portfolio Class Z	New York, NY	4/15/2021	863689-03	Pending-Cleared
AB Bond Fund, Inc. AB Sustainable Thematic Credit Portfolio Advisor Class	New York, NY	4/15/2021	863690-03	Pending-Cleared
AB Bond Fund, Inc. AB Sustainable Thematic Credit Portfolio Class A	New York, NY	4/15/2021	863687-03	Pending-Cleared
Advisors Preferred Trust Quantified Rising Dividend Tactical Fund Investor Class	Rockville, MD	4/15/2021	863686-03	Filed
The Parnassus Income Funds Parnassus Core Equity Fund Investor Shares	San Francisco, CA	4/15/2021	863785-03	Filed
FPA Funds Trust FPA Flexible Fixed Income Fund Advisor Class	Los Angeles, CA	4/14/2021	863685-03	Filed
Third Avenue Trust Third Avenue International Real Estate Value Fund Class Z	New York, NY	4/14/2021	863684-03	Filed
Third Avenue Trust Third Avenue International Real Estate Value Fund Institutional Class	New York, NY	4/14/2021	863683-03	Filed
Number of Investment Company Filings: 39				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Acretrader 135, LLP	Fayetteville, AR	4/16/2021	863737-07	Filed
Advantage Insurance Inc.	San Juan, PR	4/16/2021	863736-07	Filed
Ashbridge Transformational Secondaries Fund II (Parallel III Private Investors), LP	West Conshohocken, PA	4/16/2021	863738-07	Filed
Ashcroft Value-Add Fund, LP	New York, NY	4/16/2021	863756-07	Filed
Baseline CRE Income Fund, LLC	Mesa, AZ	4/16/2021	863763-07	Filed
Blueprint Capital REIT, Inc.	Seattle, WA	4/16/2021	863740-07	Filed
Bridge Workforce & Affordable Housing Fund II LP	Sandy, UT	4/16/2021	863741-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Brown Advisory Private Income Partners II (TE), LLLP	Baltimore, MD	4/16/2021	863739-07	Filed
CAIS Mercer Private Equity Vintage Fund I LP	New York, NY	4/16/2021	863758-07	Filed
F Street Boulder Akron LLC	Milwaukee, WI	4/16/2021	863749-07	Filed
Hinge Health, Inc.	San Francisco, CA	4/16/2021	863746-07	Filed
Ibex Partners (VATBox) LP	Denver, CO	4/16/2021	863757-07	Filed
Medxoom, Inc.	Atlanta, GA	4/16/2021	863734-07	Filed
Nacuity Pharmaceuticals, Inc.	Ft Worth, TX	4/16/2021	863735-07	Filed
OP Fund II, a series of AngelList-Forefront Venture Partners-Funds, LP	Seattle, WA	4/16/2021	863760-07	Filed
Ozone Networks, Inc.	New York, NY	4/16/2021	863742-07	Filed
QL Senior Secured Lender, LP	Chicago, IL	4/16/2021	863747-07	Filed
Rise Peoria, LLC	Phoenix, AZ	4/16/2021	863762-07	Filed
Search Fund Partners 8, LP	Menlo Park, CA	4/16/2021	863750-07	Filed
SRE Opportunity Fund IV, L.P.	Chicago, IL	4/16/2021	863755-07	Filed
SWV Francisco Gates, LLC	Fairview, TX	4/16/2021	863748-07	Filed
TCG Crossover Fund I, L.P.	Palo Alto, CA	4/16/2021	863744-07	Filed
Tiger Global Private Investment Partners XIV, L.P.	New York, NY	4/16/2021	863743-07	Filed
TN HoldCo, Inc.	Knoxville, TN	4/16/2021	863761-07	Filed
TPEG LVN Investors LLC	Southlake, TX	4/16/2021	863759-07	Filed
Wealth Advisors Feeder Fund I, L.P.	Chicago, IL	4/16/2021	863753-07	Filed
Western Magnesium Corp.	Vancouver, BC	4/16/2021	863751-07	Filed
Western Magnesium Corp.	Vancouver, BC	4/16/2021	863752-07	Filed
WF Market GR OZF, LLC	Chicago, IL	4/16/2021	863745-07	Filed
Wisconsin Lithotripsy, LLC	Austin, TX	4/16/2021	863754-07	Filed
Patriot Private Equity Fund, LLC	Waunakee, WI	4/14/2021	863774-07	Filed
Whitehaven Credit Opportunities Fund, LP	Greenwich, CT	4/13/2021	863710-07	Filed
Number of Regulation D Notice Filings: 32				

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Pistor and Associates, Inc. d/b/a Miracle Method US / Miracle Method	Colorado Springs, CO	4/16/2021	629337	Registered
Skyhawks Franchise Group, LLC / Skyhawks and Super Tots	Spokane, WA	4/16/2021	629336	Registered
HomeVestors of America, Inc. / HomeVestors	Dallas, TX	4/16/2021	629335	Registered
Rockin' Jump Franchise, LLC / Rockin' Jump	Provo, UT	4/16/2021	629334	Registered
Epcon Communities Franchising, LLC / Epcon Communities	Dublin, OH	4/16/2021	629333	Registered
Scenthound Franchising, LLC / Scenthound	Jupiter, FL	4/16/2021	629332	Registered
SYNERGY HomeCare Franchising, LLC / SYNERGY HomeCare	Gilbert, AZ	4/16/2021	629331	Registered
i9 Sports Corporation / i9 Sports	Riverview, FL	4/16/2021	629329	Registered
MWJ Holdings LLC / Twisted Sugar	Syracuse, UT	4/16/2021	629327	Registered
Crooked Pint LLC / Crooked Pint Ale House	St. Paul, MN	4/16/2021	629328	Registered
GymGuyz Franchising, LLC / GymGuyz	Plainview, NY	4/16/2021	629326	Registered
JINYA FRANCHISE, INC. / JINYA Ramen Bar	Burbank, CA	4/16/2021	629325	Registered
MICHELIN RETREAD TECHNOLOGIES INC	Simpsonville, SC	4/16/2021	629324	Registered
MICHELIN RETREAD TECHNOLOGIES INC / Michelin Commercial Service Network	Simpsonville, SC	4/16/2021	629323	Registered
Hertz System, Inc. / Hertz	Estero, FL	4/16/2021	629322	Registered
MINT CONDITION FRANCHISING, INC. / Mint Condition	FORT MILL, SC	4/16/2021	629321	Registered
MIDWEST LAWN CARE LLC / Weed Man	MIDDLETON, WI	4/16/2021	629320	Registered
Nekter Franchise, Inc. / Nekter Juice Bar	Newport Beach, CA	4/16/2021	629319	Registered
Velofix Holdings USA, Inc. / Velofix	Vancouver, BC	4/16/2021	629318	Registered
FocalPoint Coaching, Inc. / FocalPoint unit franchise business	Henderson, NV	4/15/2021	629317	Registered
FocalPoint Coaching, Inc. / FocalPoint Area Representative Franchise Business	Henderson, NV	4/15/2021	629316	Registered

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Stretch Lab Franchise, LLC / Stretch Lab	Irvine, CA	4/15/2021	629315	Registered
Yoga Six Franchise, LLC / Yoga Six	Irvine, CA	4/15/2021	629314	Registered
Sarillian Management, LLC / Golden Heart Senior Care	Frisco, TX	4/15/2021	629313	Registered
The Agency Real Estate Franchising, LLC / The Agency	Beverly Hills, CA	4/15/2021	629312	Registered
Fantastic Sams Franchise Corporation / Fantastic Sams	Woburn, MA	4/15/2021	629311	Registered
SureStay, Inc. / "SureStay Hotel by Best Western®", "SureStay Plus Hotel by Best Western®", or "SureStay Collection Hotel by Best Western®"	Phoenix, AZ	4/15/2021	629310	Registered
Best Western International, Inc. / Best Western; Best Western Plus; Best Western Premier; Executive Residency by Best Western; Vib; GLo; Aiden; Sadie	Phoenix, AZ	4/15/2021	629309	Registered
Best Western International, Inc. / BW Premier Collection; BW Signature Collection	Phoenix, AZ	4/15/2021	629308	Registered
Slim Chicken's Development Company / Slim Chicken's	Fayetteville, AK	4/15/2021	629307	Registered
Curves NA, Inc. / Curves	Waco, TX	4/15/2021	629306	Registered
McDonald's USA, LLC / McDonald's	Chicago, IL	4/15/2021	629305	Registered
Oasis Senior Advisors Franchise Systems, LLC / Oasis Senior Advisors	Bonita Springs, FL	4/15/2021	629303	Registered
Dynamo Surfaces Franchising, LLC / Dynamo Surfaces	Wood Dale, IL	4/14/2021	629302	Registered
M. H. Franchise Company Inc / Teriyaki Madness	Denver, CO	4/14/2021	629301	Registered
TRAVEL LEADERS NETWORK, LLC / Travel Leaders	Plymouth, MN	4/14/2021	629300	Registered
WINGS ETC INC / Wings Etc.	FORT WAYNE, IN	4/14/2021	629298	Registered
ZIPS Franchising, LLC / ZIPS Dry Cleaners	Greenbelt, MD	4/14/2021	629297	Registered
JC Franchising, Inc. / Jenny Craig	Carlsbad, CA	4/14/2021	629296	Registered
Stayfull Services, LLC / Stayfull	Woodridge, IL	4/14/2021	629295	Registered
Signal 88, LLC / Signal 88 Security	Omaha, NE	4/14/2021	629294	Registered

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CMIT Solutions, LLC / CMIT Solutions	Austin, TX	4/14/2021	629293	Registered
Sharkey's Cuts For Kids International Co., LLC / Sharkey's Cuts for Kids	Westport, CT	4/14/2021	629292	Registered
Rococo Franchise Corporation / Rocky Rococo Pizza and Pasta	Oconomowoc, WI	4/14/2021	629291	Registered
ENGEL & VOLKERS Americas INC / Engel & Volkers North America and Engel & Volkers Americas	New York, NY	4/14/2021	629290	Registered
FIREHOUSE OF AMERICA LLC / Firehouse Subs	JACKSONVILLE, FL	4/13/2021	629289	Registered
Sola Franchise, LLC / Sola Salon Studios	Lakewood, CO	4/13/2021	629287	Registered
Everbowl Franchise, LLC / Everbowl	Vista, CA	4/13/2021	629286	Registered
SMASHBURGER FRANCHISING LLC / Smashburger	DENVER, CO	4/13/2021	629284	Registered
Garbanzo Franchising Co., LLC / Stephanie Grobler	West Conshohocken, PA	4/13/2021	629283	Registered
SW-Frutta Bowls Franchising Co., LLC / Frutta Bowls	West Conshohocken, PA	4/13/2021	629282	Registered
Venture X Franchising, LLC / Venture X	West Palm Beach, FL	4/13/2021	629281	Registered
Marco's Franchising, LLC / Marco's Pizza	Toledo, OH	4/13/2021	629271	Registered
GOSH ENTERPRISES, INC. / Charleys, Charleys Philly Steaks, Charley's Grilled Subs, Charleys Philly Steaks Wings - Philly SteaksChicken Fingers Cheesesteaks	COLUMBUS, OH	4/13/2021	629272	Registered
HAND AND STONE FRANCHISE CORP / Hand & Stone Massage, Facial Spa	Trevese, PA	4/13/2021	629270	Registered
The Glass Guru Enterprises, Inc / The Glass Guru	The Colony, TX	4/12/2021	629269	Registered
Squeeze Franchising, LLC / Squeeze	Studio City, CA	4/12/2021	629267	Registered
Mosquito Police Franchising LLC	Antigo, WI	4/12/2021	629266	Registered
Hero Systems, Inc. / Big Town Hero	Portland, OR	4/12/2021	629265	Registered
Pet Wants Franchise System, LLC / Pet Wants	Cincinnati, OH	4/12/2021	629264	Registered

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ServiceMaster Clean/Restore SPE LLC / ServiceMaster Recovery Management	Memphis, TN	4/12/2021	629263	Registered
ServiceMaster Clean/Restore SPE LLC / ServiceMaster Restore (Disaster Restoration)	Memphis, TN	4/12/2021	629262	Registered
ServiceMaster Clean/Restore SPE LLC / ServiceMaster Clean (Janitorial Svcs)	Memphis, TN	4/12/2021	629261	Registered
360BRANDS, INC. / 360clean	Charleston, SC	4/12/2021	629260	Registered
Merry Maids SPE LLC / Merry Maids	Memphis, TN	4/12/2021	629259	Registered
Furniture Medic SPE LLC / Furniture Medic	Memphis, TN	4/12/2021	629258	Registered
AmeriSpec SPE LLC / AmeriSpec	Memphis, TN	4/12/2021	629257	Registered
CruiseOne, Inc. / CruiseOne	Ft Lauderdale, FL	4/12/2021	629256	Registered
Rayyan Pizza Franchise LLC / Papa Ray's Pizza & Wings	South Barrington, IL	4/12/2021	629255	Registered
Athletic Republic, Inc. / Athletic Republic	Park City, UT	4/12/2021	629254	Registered
Number of Franchise Filings: 70				