

**WI Securities Law and Franchise Law Filings
for 5/9/2021 through 5/15/2021**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Investment Company Filings: 0				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Sift Medical Data, Inc.	Milwaukee, WI	5/14/2021	864172-07	Filed
Trojka Capital LP	River Falls, WI	5/14/2021	864193-07	Filed
Character VC I, L.P.	Milwaukee, WI	5/10/2021	864033-07	Filed
Number of Regulation D Notice Filings: 3				