

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/30/2021 through 6/5/2021**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Prudential Investment Portfolios 8 PGIM QMA Stock Index Fund Class R6	Newark, NJ	6/4/2021	864311-03	Filed
William Blair Funds William Blair Emerging Markets Debt Fund Class I	Chicago, IL	6/4/2021	864309-03	Filed
William Blair Funds William Blair Emerging Markets Debt Fund Class R6	Chicago, IL	6/4/2021	864310-03	Filed
Aptera Motors Corp.	San Diego, CA	6/3/2021	864312-25	Filed
Brookfield Investment Funds Oaktree Emerging Markets Equity Fund Class I	West Allis, WI	6/3/2021	864306-03	Filed
FIDELITY CONCORD STREET TRUST Fidelity SAI International Small Cap Index Fund Fidelity SAI International Small Cap Index Fund	Boston, MA	6/3/2021	864307-03	Filed
FIDELITY CONCORD STREET TRUST Fidelity SAI Japan Stock Index Fund Fidelity SAI Japan Stock Index Fund	Boston, MA	6/3/2021	864308-03	Filed
FT 9359 Virtual Economy Portfolio, Series 5	Wheaton, IL	6/3/2021	865463-05	Pending-Cleared
FT 9360 Innovative Technology Portfolio, Series 31	Wheaton, IL	6/3/2021	865464-05	Pending-Cleared
FT 9361 FTP Virtual Economy Portfolio, Series 3	Wheaton, IL	6/3/2021	865465-05	Pending-Cleared
FT 9362 FTP Innovative Technology Portfolio, Series 3	Wheaton, IL	6/3/2021	865466-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 9366 Capital Strength Portfolio, Series 56	Wheaton, IL	6/3/2021	865467-05	Pending-Cleared
FT 9367 UBS Yield at a Reasonable Price: Equity Advisory Group 2021, Series 2	Wheaton, IL	6/3/2021	865468-05	Pending-Cleared
FT 9368 AI, Robotics & Technology Opportunity Portfolio, Series 16	Wheaton, IL	6/3/2021	865469-05	Pending-Cleared
FT 9369 E-Commerce Opportunity Portfolio, Series 16	Wheaton, IL	6/3/2021	865470-05	Pending-Cleared
FT 9370 NextGen Communications and Technology Portfolio, Series 10	Wheaton, IL	6/3/2021	865471-05	Pending-Cleared
FT 9371 Mega-Cap Portfolio, Series 61	Wheaton, IL	6/3/2021	865472-05	Pending-Cleared
FT 9372 SkyBridge Digital Innovation Portfolio Series	Wheaton, IL	6/3/2021	865475-05	Pending-Cleared
FT 9388 Senior Loan Closed-End and ETF Portfolio, Series 35	Wheaton, IL	6/3/2021	865462-05	Pending-Cleared
FT 9389 Diversified High Income Closed-End Portfolio, Series 45	Wheaton, IL	6/3/2021	865473-05	Pending-Cleared
FT 9390 Market Strength Allocation Select Portfolio, Series 45	Wheaton, IL	6/3/2021	865474-05	Pending-Cleared
Fundrise Income eREIT 2019, LLC	Washington, DC	6/3/2021	864313-25	Filed
Guggenheim Defined Portfolios, Series 2128 Dividend Strength Portfolio, Series 42	Chicago, IL	6/3/2021	865476-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2135 Flaherty & Crumrine Preferred Portfolio, Series 53	Chicago, IL	6/3/2021	865477-05	Pending-Cleared
SmartTrust 520 Fundamental Equity Contrarians Trust, Series 5	Parsippany, NJ	6/3/2021	865478-05	Pending-Cleared
SmartTrust 520 Sustainable Impact Investing Trust, Series 18	Parsippany, NJ	6/3/2021	865479-05	Pending-Cleared
Federated Hermes Adviser Series Federated Hermes Global Equity Fund Class R6 Shares	Warrendale, PA	6/2/2021	864303-03	Filed
Federated Hermes Adviser Series Federated Hermes Global Small Cap Fund Class R6 Shares	Warrendale, PA	6/2/2021	864299-03	Filed
Federated Hermes Adviser Series Federated Hermes International Developed Equity Fund Class R6 Shares	Warrendale, PA	6/2/2021	864301-03	Filed

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Federated Hermes Adviser Series Federated Hermes SDG Engagement Equity Fund Class R6 Shares	Warrendale, PA	6/2/2021	864304-03	Filed
Federated Hermes Adviser Series Federated Hermes SDG Engagement High Yield Credit Fund Class R6 Shares	Warrendale, PA	6/2/2021	864300-03	Filed
Federated Hermes Adviser Series Federated Hermes U.S. SMID Fund Class R6 Shares	Warrendale, PA	6/2/2021	864302-03	Filed
Advisors Series Trust Semper Brentview Dividend Growth Equity Fund Institutional Class	Milwaukee, WI	6/1/2021	864297-03	Filed
Angel Oak Funds Trust Angel Oak Core Impact Fund Class A Shares	West Allis, WI	6/1/2021	864294-03	Filed
Angel Oak Funds Trust Angel Oak Core Impact Fund Class C Shares	West Allis, WI	6/1/2021	864295-03	Filed
Angel Oak Funds Trust Angel Oak Core Impact Fund Institutional Class Shares	West Allis, WI	6/1/2021	864296-03	Filed
BBH Trust BBH Select Series - Mid Cap Fund Class I Shares	New York, NY	6/1/2021	864291-03	Filed
Prudential Sector Funds, Inc. PGIM Jennison Financial Services Fund Class R6	Newark, NJ	6/1/2021	864293-03	Filed
Series Portfolios Trust HW Opportunities MP Fund	West Allis, WI	6/1/2021	864298-03	Filed
Sit Mutual Funds II, Inc. Sit Tax-Free Income Fund Class Y	Minneapolis, MN	6/1/2021	864292-03	Filed
<b>Number of Investment Company Filings: 40</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Alpha Partners Fund III-A, LP	New York, NY	6/3/2021	864379-07	Filed
Alt Fund II, LP	San Francisco, CA	6/3/2021	864423-07	Filed
Atlas Fund III, LLC, Series I	New York, NY	6/3/2021	864422-07	Filed
AVGSF - Boundless 2021, LLC	Boston, MA	6/3/2021	864413-07	Filed
Azul Apartments, LLC	Woodland Hills, CA	6/3/2021	864386-07	Filed
Bradford Park, LLC	Austin, TX	6/3/2021	864418-07	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CADCAM Holdings, LLC	Chicago, IL	6/3/2021	864378-07	Filed
Citizens Bancshares of Woodville, Inc.	Hudson, WI	6/3/2021	864393-07	Filed
Colibri Resource Corp	Dieppe, NB	6/3/2021	864383-07	Filed
Creek Alpine Apartments, LLC	Minneapolis, MN	6/3/2021	864392-07	Filed
CS1031 Foxwood Village MHC, DST	Glen Allen, VA	6/3/2021	864394-07	Filed
Enduring Ventures, Inc.	Yerington, NV	6/3/2021	864389-07	Filed
Equitable Economy Fund, LLC	Jamaica Plain, MA	6/3/2021	864417-07	Filed
First Vanadium Corp.	Vancouver, BC	6/3/2021	864412-07	Filed
Frazier Healthcare Growth Buyout Affiliates X, L.P.	Seattle, WA	6/3/2021	864390-07	Filed
Georgia Banking Co, Inc.	Atlanta, GA	6/3/2021	864419-07	Filed
HCG Lansing II, LLC	Chicago, IL	6/3/2021	864414-07	Filed
Heights Venture, LLC	Northridge, CA	6/3/2021	864421-07	Filed
Highland Lakes Master Land Development, LLC	Dallas, TX	6/3/2021	864385-07	Filed
JANA Strategic Investments Benchmark Fund, L.P.	New York, NY	6/3/2021	864411-07	Filed
Mondial Life Fund LLC	Wilmington, DE	6/3/2021	864387-07	Filed
MyCanna, LLC	San Diego, CA	6/3/2021	864384-07	Filed
OurCrowd (Investment in Nexa-II) L.P.	Jerusalem,	6/3/2021	864420-07	Filed
S2 Genomics, Inc.	Livermore, CA	6/3/2021	864388-07	Filed
Shyanne Venture, LLC	Northridge, CA	6/3/2021	864415-07	Filed
Spring Brook Houston, LLC	Dallas, TX	6/3/2021	864380-07	Filed
Teracel Blockchain Fund LLC	Claymont, DE	6/3/2021	864382-07	Filed
Thrive Holcomb Bridge Partners, LP	Austin, TX	6/3/2021	864391-07	Filed
Timberland Partners Apartment Fund VIII, LLC	Bloomington, MN	6/3/2021	864381-07	Filed
WTI Fund X, LLC	Portola Valley, CA	6/3/2021	864416-07	Filed
<b>Number of Regulation D Notice Filings: 30</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
The MISS Brand Corp. / dba Miss USA Organization	Houston, TX	6/4/2021	629948	Registered

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GameTruck Licensing, LLC / GameTruck	Tempe, AZ	6/4/2021	629947	Registered
PROPERTY DAMAGE APPRAISERS, INC / PROPERTY DAMAGE APPRAISERS, PDA	Fort Worth, TX	6/4/2021	629946	Registered
ABRA Franchisor SPV LLC / ABRA	Charlotte, NC	6/4/2021	629945	Registered
Graze Craze Franchising, LLC / Graze Graze	West Palm Beach, FL	6/4/2021	629944	Registered
Jewelry Repair Enterprises, Inc. / Fast-Fix Jewelry and Watch Repairs	Boca Raton, FL	6/4/2021	629943	Registered
Clean EatZ Franchising, LLC / Clean EatZ	Wilmington, NC	6/4/2021	629942	Registered
Loyalty Business Services LLC d/b/a Loyalty Business Services / Loyalty Business Services-Unit	Virginia Beach, VA	6/4/2021	629941	Registered
Showhomes Franchise Corporation / Showhomes	Nashville, TN	6/4/2021	629940	Registered
TownePost Network Inc. / TownePost Network	Fishers, IN	6/3/2021	629938	Registered
Woodland Capital Franchising, Inc. / The Doan Group	Covington, GA	6/3/2021	629937	Registered
Weathersby Guild, Inc. / Kent Weathersby	Peachtree City, GA	6/3/2021	629936	Registered
Sbarro Franchise Co., LLC / Sbarro	Columbus, OH	6/3/2021	629935	Registered
1-800-RADIATOR FRANCHISOR SPV LLC / 1-800-RADIATOR & A/C®	Benicia, CA	6/3/2021	629933	Registered
Restore Franchising LLC / Restore Hyper Wellness	Austin, TX	6/2/2021	629930	Registered
ANGRY CRAB SHACK FRANCHISE, LLC / ANGRY CRAB SHACK	MESA, AZ	6/2/2021	629929	Registered
UTP Franchising, LLC / Ultimate Team Posters; Ultimate Team Products	Plainfield, IL	6/2/2021	629928	Registered
Griswold International, LLC / Griswold Home Care (Full Employment Model)	Blue Bell, PA	6/2/2021	629927	Registered
STOP Franchising SPE LLC / STOP Franchising SPE LLC, Service Team of Professionals	Columbia, MD	6/1/2021	629926	Registered
Hardee's Restaurants LLC / Hardee's and Hardee's/Red Burrito	Franklin, TN	6/1/2021	629925	Registered

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Hardee's Restaurants LLC / Hardee's and Hardee's/Red Burrito	Franklin, TN	6/1/2021	629924	Registered
National Property Inspections, Inc.	Omaha, NE	6/1/2021	629923	Registered
RBM Franchising Corp. / RunningBoards Marketing	Watertown, NY	6/1/2021	629921	Registered
Paciugo Franchise International, LLC / Paciugo Gelato Caffè	Dallas, TX	6/1/2021	629920	Registered
Express Oil Change Franchise, LLC / Express Oil Change/Tire Engineers	Birmingham, AL	6/1/2021	629917	Registered
Stratify, LLC / Painter1	Spanish Fork, UT	5/31/2021	629916	Registered
<b>Number of Franchise Filings: 26</b>				