

**WI Securities Law and Franchise Law Filings  
for 5/30/2021 through 6/5/2021**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Brookfield Investment Funds Oaktree Emerging Markets Equity Fund Class I	West Allis, WI	6/3/2021	864306-03	Filed
Advisors Series Trust Semper Brentview Dividend Growth Equity Fund Institutional Class	Milwaukee, WI	6/1/2021	864297-03	Filed
Angel Oak Funds Trust Angel Oak Core Impact Fund Class A Shares	West Allis, WI	6/1/2021	864294-03	Filed
Angel Oak Funds Trust Angel Oak Core Impact Fund Class C Shares	West Allis, WI	6/1/2021	864295-03	Filed
Angel Oak Funds Trust Angel Oak Core Impact Fund Institutional Class Shares	West Allis, WI	6/1/2021	864296-03	Filed
Series Portfolios Trust HW Opportunities MP Fund	West Allis, WI	6/1/2021	864298-03	Filed
<b>Number of Investment Company Filings: 6</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Citizens Bancshares of Woodville, Inc.	Hudson, WI	6/3/2021	864393-07	Filed
<b>Number of Regulation D Notice Filings: 1</b>				