

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/6/2021 through 6/12/2021**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Storage Trust VI, Inc.	Ladera Ranch, CA	6/8/2021	865523-01	Pending-Cleared
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 9373 Digital World Leaders Opportunity Portfolio, Series 8	Wheaton, IL	6/11/2021	865505-05	Pending-Cleared
FT 9374 Digital World Leaders Strategy Portfolio, Series 9	Wheaton, IL	6/11/2021	865500-05	Pending-Cleared
FT 9375 Brookmont Equity Dividend Portfolio, Series 28	Wheaton, IL	6/11/2021	865501-05	Pending-Cleared
FT 9376 REIT Growth & Income Select Portfolio, Series 57	Wheaton, IL	6/11/2021	865502-05	Pending-Cleared
FT 9391 ETF Growth and Income May '21	Wheaton, IL	6/11/2021	865499-05	Pending-Cleared
FT 9392 Aerospace & Defense Portfolio, Series 32	Wheaton, IL	6/11/2021	865503-05	Pending-Cleared
FT 9393 Dow Jones Global Titans Portfolio, Series 34	Wheaton, IL	6/11/2021	865504-05	Pending-Cleared
FT 9398 Cboe Vest Large Cap Buffered Portfolio, Series 19	Wheaton, IL	6/11/2021	865498-05	Pending-Cleared
FT 9405 Nasdaq® Dividend Achievers 25 Portfolio, Series 24	Wheaton, IL	6/11/2021	865506-05	Pending-Cleared
FT 9406 Deep Value Dividend Opportunity Portfolio, Series 17	Wheaton, IL	6/11/2021	865507-05	Pending-Cleared
FT 9407 U.S. Infrastructure Portfolio, Series 24	Wheaton, IL	6/11/2021	865508-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2129 Total Income Portfolio, Series 25	Chicago, IL	6/11/2021	865509-05	Pending-Cleared
Invesco Unit Trusts, Series 2136 Defensive Equity & Income Portfolio 2021-2	Downers Grove, IL	6/11/2021	865566-05	Filed

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Firm Name	Location	Date Received	File Number	Status
Invesco Unit Trusts, Series 2136 Digital Gaming Portfolio 2021-2	Downers Grove, IL	6/11/2021	865564-05	Filed
Invesco Unit Trusts, Series 2136 Emerging Markets Dividend Portfolio 2021-2	Downers Grove, IL	6/11/2021	865565-05	Filed
Invesco Unit Trusts, Series 2137 ETF Allocation Portfolio 2021-2	Downers Grove, IL	6/11/2021	865567-05	Filed
Invesco Unit Trusts, Series 2137 ETF Diversified Income Portfolio 2021-2	Downers Grove, IL	6/11/2021	865568-05	Filed
Invesco Unit Trusts, Series 2138 ESG Opportunity Portfolio 2021-3	Downers Grove, IL	6/11/2021	865569-05	Filed
Invesco Unit Trusts, Series 2138 The Dow Jones Total Market Portfolio, Enhanced Index Strategy 2021-3	Downers Grove, IL	6/11/2021	865570-05	Pending-Cleared
Invesco Unit Trusts, Series 2139 American Innovation Leaders Portfolio 2021-2	Downers Grove, IL	6/11/2021	865571-05	Filed
Invesco Unit Trusts, Series 2139 Global Technology Leaders Portfolio 2021-3	Downers Grove, IL	6/11/2021	865573-05	Filed
Invesco Unit Trusts, Series 2139 New World Leaders Portfolio 2021-2	Downers Grove, IL	6/11/2021	865572-05	Filed
Invesco Unit Trusts, Series 2139 U.S. Defense Portfolio 2021-2	Downers Grove, IL	6/11/2021	865574-05	Filed
Invesco Unit Trusts, Series 2140 American Innovation Leaders CDA Portfolio 2021-2	Downers Grove, IL	6/11/2021	865576-05	Filed
Invesco Unit Trusts, Series 2140 Global Technology Leaders CDA Portfolio 2021-3	Downers Grove, IL	6/11/2021	865575-05	Filed
The RBB Fund, Inc. Abbey Capital Multi Asset Fund Class A Shares	Milwaukee, WI	6/11/2021	864408-03	Filed
The RBB Fund, Inc. Abbey Capital Multi Asset Fund Class C Shares	Milwaukee, WI	6/11/2021	864409-03	Filed
John Hancock Funds II John Hancock Opportunistic Fixed Income Fund Class A	Boston, MA	6/10/2021	864403-03	Filed
John Hancock Funds II John Hancock Opportunistic Fixed Income Fund Class C	Boston, MA	6/10/2021	864404-03	Filed
John Hancock Funds II John Hancock Opportunistic Fixed Income Fund Class I	Boston, MA	6/10/2021	864405-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
John Hancock Funds II John Hancock Opportunistic Fixed Income Fund Class R6	Boston, MA	6/10/2021	864406-03	Filed
Morgan Stanley Institutional Fund, Inc. Global Insight Portfolio Class IS	New York, NY	6/10/2021	864407-03	Filed
GMO Trust GMO International Equity Fund Class I	Boston, MA	6/9/2021	864402-03	Filed
GMO Trust GMO Opportunistic Income Fund Class R6	Boston, MA	6/9/2021	864400-03	Filed
GMO Trust GMO Usonian Japan Value Creation Fund Class I	Boston, MA	6/9/2021	864401-03	Filed
Virtus Opportunities Trust Virtus KAR Developing Markets Fund Class A	Greenfield, MA	6/9/2021	864396-03	Filed
Virtus Opportunities Trust Virtus KAR Developing Markets Fund Class C	Greenfield, MA	6/9/2021	864398-03	Filed
Virtus Opportunities Trust Virtus KAR Developing Markets Fund Class I	Greenfield, MA	6/9/2021	864397-03	Filed
Virtus Opportunities Trust Virtus KAR Developing Markets Fund Class R6	Greenfield, MA	6/9/2021	864399-03	Filed
SCM Trust Icon Consumer Select Fund Institutional Class	Denver, CO	6/8/2021	864395-03	Filed
SEI Institutional International Trust International Equity Fund Class I Shares	Oaks, PA	6/7/2021	864354-03	Filed
SEI Institutional Investments Trust Large Cap Index Fund Class A Shares	Oaks, PA	6/7/2021	864353-03	Filed
SEI Institutional Investments Trust Long Duration Fund Class A Shares	Oaks, PA	6/7/2021	864352-03	Filed
SEI Institutional Investments Trust Screened World Equity Ex-US Fund Class A Shares	Oaks, PA	6/7/2021	864351-03	Filed
SEI Institutional Managed Trust Mid-Cap Fund Class I Shares	Oaks, PA	6/7/2021	864355-03	Filed
SEI Institutional Managed Trust Real Estate Fund Class I Shares	Oaks, PA	6/7/2021	864356-03	Filed
Number of Investment Company Filings: 46				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
15330 Ella Blvd, LLC	Houston, TX	6/11/2021	864443-07	Filed
Acretrader 155, LLC	Fayetteville, AR	6/11/2021	864450-07	Filed
AN Fund I, a series of Kanjun Qiu Funds, LP	Seattle, WA	6/11/2021	864467-07	Filed
ApexOne Multifamily Special Situation Fund IV, LP	Houston, TX	6/11/2021	864446-07	Filed
Atlas Fund IV, LLC, Series A	New York, NY	6/11/2021	864456-07	Filed
AVGSF - HYPR 2021, LLC	Boston, MA	6/11/2021	864461-07	Filed
Barings Capital Investment Corp	Charlotte, NC	6/11/2021	864463-07	Filed
Barings Private Credit Corp	Charlotte, NC	6/11/2021	864434-07	Filed
Cresset Private Capital CSC, LLC	Chicago, IL	6/11/2021	864440-07	Filed
Eitlean LLC	Chicago, IL	6/11/2021	864457-07	Filed
FE Gaingels Fund I, a series of Zachary Ginsburg Funds, LP	Seattle, WA	6/11/2021	864468-07	Filed
Finial Investments IAF 4 Owner, LLC	Houston, TX	6/11/2021	864458-07	Filed
GL Fund I, a series of Jetstream Syndicates, LP	Seattle, WA	6/11/2021	864437-07	Filed
Grand Rounds, Inc.	San Francisco, CA	6/11/2021	864445-07	Filed
Hale Avenue Apts, LLC	Chicago, IL	6/11/2021	864426-07	Filed
Infinitum Electric Inc.	Round Rock, TX	6/11/2021	864433-07	Filed
Intelequity LLC	Wilmington, DE	6/11/2021	864428-07	Filed
Intuitive Virsec, LLC	White Plains, NY	6/11/2021	864441-07	Filed
Lonerider Spirits, LLC	Holly Springs, NC	6/11/2021	864460-07	Filed
MCG Asheville Pref, LLC	Charlotte, NC	6/11/2021	864449-07	Filed
MCG Berewick, LLC	Charlotte, NC	6/11/2021	864448-07	Filed
MCG Ft Mill, LLC	Charlotte, NC	6/11/2021	864454-07	Filed
MCG Madison Waterstar Investors, LLC	Charlotte, NC	6/11/2021	864452-07	Filed
MCG Nocatee Investors Pref, LLC	Charlotte, NC	6/11/2021	864455-07	Filed
MCG Pref Opportunity Fund, LLC	Charlotte, NC	6/11/2021	864453-07	Filed
MCG Pref Storage Fund I, LLC	Charlotte, NC	6/11/2021	864451-07	Filed
MIN Fund I, a series of Sajid Rahman Angel Funds, LP	Seattle, WA	6/11/2021	864436-07	Filed
ML St. Anthony Industrial, LLC	Eden Prairie, MN	6/11/2021	864466-07	Filed
MVP LS Fund CCXCII, LLC	Austin, TX	6/11/2021	864438-07	Filed
Osprey Algorand Trust	Tarrytown, NY	6/11/2021	864425-07	Filed

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OV Fund II, a series of Team Uplyft, LP	Seattle, WA	6/11/2021	864469-07	Filed
Parade Ventures II, L.P.	Los Gatos, CA	6/11/2021	864427-07	Filed
Piper Holdings, Inc.	Covington, IN	6/11/2021	864462-07	Filed
Portrait Investor, LLC	Dallas, TX	6/11/2021	864464-07	Filed
Punchdown Partners SPV-PR, LLC	Walla Walla, WA	6/11/2021	864431-07	Filed
RCPDirect IV, LP	Chicago, IL	6/11/2021	864424-07	Filed
Ridge Ventures Continuation Fund, L.P.	San Francisco, CA	6/11/2021	864442-07	Filed
Rivernorth SPAC Arbitrage Fund, LP	Chicago, IL	6/11/2021	864439-07	Filed
ServiceMax JV, LP	Pleasanton, CA	6/11/2021	864435-07	Filed
Spectrum Antimicrobials, Inc.	Petaluma, CA	6/11/2021	864444-07	Filed
Stonghold Digital Mining, Inc.	Kennerdell, PA	6/11/2021	864465-07	Filed
Systematic Opportunity Land Development Fund, LP	Weatherford, TX	6/11/2021	864430-07	Filed
Terviva, Inc.	Alameda, CA	6/11/2021	864429-07	Filed
Thunderbird Medical Properties, L.P.	Cleveland, OH	6/11/2021	864447-07	Filed
Udo, LLC	Farmington, UT	6/11/2021	864459-07	Filed
WAG-LSS2, LLC	Columbus, OH	6/11/2021	864432-07	Filed
Wandering Bear Inc.	New York, NY	6/11/2021	864470-07	Filed
Piney Point Carbon Opportunities LP	Milwaukee, WI	6/8/2021	865522-07	Filed
Number of Regulation D Notice Filings: 48				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
PITA PIT INC. / PITA PIT	COEUR D'ALENE, ID	6/11/2021	629978	Registered
New Horizons Franchising Group Inc. / New Horizons	Tampa, FL	6/11/2021	629977	Registered
UBIF Franchising Co / UBREAKIFIX	Orlando, FL	6/11/2021	629976	Registered
SHH Group, LLC / Sculpture Hospitality	Toronto, ON	6/11/2021	629975	Registered
Bubbly Paws Franchising, LLC / Bubbly Paws	Minneapolis, MN	6/11/2021	629974	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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Body20 Global USA, LLC / Body20	Boca Raton, FL	6/11/2021	629973	Registered
VetCor Franchising, LLC / VetCor	Tampa, FL	6/11/2021	629972	Registered
Grease Monkey Franchising, LLC / Grease Monkey	Greenwood Village, CO	6/10/2021	629971	Registered
1-800-Services, LLC / 1-800-Plumber	Pearland, TX	6/10/2021	629969	Registered
OTF Franchisor, LLC / ORANGETHEORY	Boca Raton, FL	6/10/2021	629968	Registered
INTERIM HEALTHCARE INC. / INTERIM HEALTHCARE HOSPICE	SUNRISE, FL	6/10/2021	629967	Registered
Membership Hotel Organization, LLC / Membership Hotel Organization	Bordentown, NJ	6/10/2021	629965	Registered
Image Studios 360 Franchise, LLC / Image Studios	Salt Lake City, UT	6/9/2021	629964	Registered
Nothing Bundt Franchising, LLC / Nothing Bundt Cakes	Addison, TX	6/9/2021	629962	Registered
Cartridge World USA, LLC / Tina Ricchio	McHenry, IL	6/8/2021	629960	Registered
Jet's America, Inc.	Sterling Heights, MI	6/8/2021	629959	Registered
MELTING POT RESTAURANTS INC / The Melting Pot	TAMPA, FL	6/8/2021	629957	Registered
Kline Franchising, Inc. / Burn Boot Camp	Huntersville, NC	6/7/2021	629954	Registered
B3 Franchising LLC / barre3	Portland, OR	6/7/2021	629953	Registered
FIT20USA FRANCHISING, LLC / "FIT20" and "FIT20 FIT IN 20 MINUTES PER WEEK"	Stafford, VA	6/7/2021	629950	Registered
Shuckin Shack Franchising LLC / Shuckin' Shack	Wilmington, NC	6/7/2021	629949	Registered
Number of Franchise Filings: 21				