

**WI Securities Law and Franchise Law Filings  
for 6/6/2021 through 6/12/2021**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
The RBB Fund, Inc. Abbey Capital Multi Asset Fund Class A Shares	Milwaukee, WI	6/11/2021	864408-03	Filed
The RBB Fund, Inc. Abbey Capital Multi Asset Fund Class C Shares	Milwaukee, WI	6/11/2021	864409-03	Filed
<b>Number of Investment Company Filings: 2</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Piney Point Carbon Opportunities LP	Milwaukee, WI	6/8/2021	865522-07	Filed
<b>Number of Regulation D Notice Filings: 1</b>				