

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/13/2021 through 6/19/2021**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Jones Lang Lasalle Income Property Trust, Inc.	Chicago, WI	6/18/2021	865524-01	Pending-Cleared
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2072 American Renaissance Portfolio, Series 2021-1- A Cyrus J. Lawrence LLC ("CJL") Portfolio	Monument, CO	6/18/2021	865615-05	Pending-Cleared
Advisors Disciplined Trust 2072 Building America Opportunities Portfolio, Series 2021-1	Monument, CO	6/18/2021	865616-05	Pending-Cleared
Advisors Disciplined Trust 2072 Building America Strategy Portfolio, Series 2021-1	Monument, CO	6/18/2021	865617-05	Pending-Cleared
Advisors Disciplined Trust 2072 Business Development Company Opportunities Portfolio, Series 2021-1	Monument, CO	6/18/2021	865618-05	Pending-Cleared
Advisors Disciplined Trust 2072 Cohen & Steers Convertible and Income Closed-End Portfolio, Series 2021-1	Monument, CO	6/18/2021	865619-05	Pending-Cleared
Advisors Disciplined Trust 2072 Cohen & Steers MLP, Midstream & Energy Income Closed-End Portfolio, Series 2021-1	Monument, CO	6/18/2021	865620-05	Pending-Cleared
Advisors Disciplined Trust 2072 Cohen & Steers Municipal Closed-End Portfolio, Series 2021-1	Monument, CO	6/18/2021	865621-05	Pending-Cleared
Advisors Disciplined Trust 2072 Cohen & Steers Senior Variable Rate and Income Closed-End Portfolio, Series 2021-1	Monument, CO	6/18/2021	865622-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2072 Core Angels Portfolio, Series 2021-1	Monument, CO	6/18/2021	865614-05	Pending-Cleared
Advisors Disciplined Trust 2072 Global Basic Materials Portfolio, Series 2021-1	Monument, CO	6/18/2021	865623-05	Pending-Cleared
Advisors Disciplined Trust 2072 Health Care Opportunities Portfolio, Series 2021-1	Monument, CO	6/18/2021	865624-05	Pending-Cleared
Advisors Disciplined Trust 2072 Small/Mid-Cap Advantage Portfolio, Series 2021-1	Monument, CO	6/18/2021	865625-05	Pending-Cleared
FT 9379 Dow® Target 10 June '21 - Term 7/9/22	Wheaton, IL	6/18/2021	865609-05	Pending-Cleared
FT 9408 IPOX® 25 Portfolio, Series 2	Wheaton, IL	6/18/2021	865596-05	Pending-Cleared
FT 9409 Covered Call Select Closed-End Portfolio, Series 82	Wheaton, IL	6/18/2021	865597-05	Pending-Cleared
FT 9410 Municipal Income Opportunities Closed-End Portfolio, Series 68	Wheaton, IL	6/18/2021	865599-05	Pending-Cleared
FT 9411 Equity Closed-End Portfolio, Series 62	Wheaton, IL	6/18/2021	865600-05	Pending-Cleared
FT 9413 Clean Energy Portfolio, Series 3	Wheaton, IL	6/18/2021	865603-05	Pending-Cleared
FT 9416 Income Dividend Equity Allocation (IDEA) Portfolio, Series 29	Wheaton, IL	6/18/2021	865604-05	Pending-Cleared
FT 9417 FTP Strategic Fixed Income ETF Portfolio, Series 2	Wheaton, IL	6/18/2021	865605-05	Pending-Cleared
FT 9418 Leisure & Entertainment Portfolio, Series 8	Wheaton, IL	6/18/2021	865608-05	Pending-Cleared
FT 9427 Richard Bernstein Advisors Global Dividend Kings®, Series 38	Wheaton, IL	6/18/2021	865606-05	Pending-Cleared
FT 9444 Senior Loan & Limited Duration Closed-End Portfolio, Series 101	Wheaton, IL	6/18/2021	865607-05	Pending-Cleared
FT 9451 Global Equity Income Closed-End Portfolio, Series 74	Wheaton, IL	6/18/2021	865601-05	Pending-Cleared
FT 9490 Balanced Income Select Portfolio, Series 103	Wheaton, IL	6/18/2021	865602-05	Pending-Cleared
FT 9507 Municipal Income Select, Closed-End Portfolio, Series 123	Wheaton, IL	6/18/2021	865598-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
Guggenheim Defined Portfolios, Series 2131 Equity & Income Portfolio of CEFs, Series 50	Chicago, IL	6/18/2021	865611-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2132 Income & Treasury Limited Duration Portfolio of Funds, Series 68	Chicago, IL	6/18/2021	865612-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2133 Diversified Income Wave Portfolio, Series 81	Chicago, IL	6/18/2021	865613-05	Pending-Cleared
Invesco Unit Trusts, Municipal Series 1355: Investment Grade Municipal Trust, 20+ Year Series 198	Downers Grove, IL	6/18/2021	865610-05	Filed
Northern Lights Fund Trust III Counterpoint Tactical Equity Fund Class I Shares	Cincinnati, OH	6/18/2021	865577-03	Filed
John Hancock Funds II Multi-Index 2010 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865512-03	Filed
John Hancock Funds II Multi-Index 2015 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865513-03	Filed
John Hancock Funds II Multi-Index 2020 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865514-03	Filed
John Hancock Funds II Multi-Index 2025 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865515-03	Filed
John Hancock Funds II Multi-Index 2030 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865516-03	Filed
John Hancock Funds II Multi-Index 2035 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865517-03	Filed
John Hancock Funds II Multi-Index 2040 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865510-03	Filed
John Hancock Funds II Multi-Index 2045 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865518-03	Filed
John Hancock Funds II Multi-Index 2050 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865519-03	Filed
John Hancock Funds II Multi-Index 2055 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865520-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
John Hancock Funds II Multi-Index 2060 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865521-03	Filed
John Hancock Funds II Multi-Index 2065 Lifetime Portfolio Class A	Boston, MA	6/17/2021	865511-03	Filed
FIDELITY INCOME FUND Fidelity Environmental Bond Fund Fidelity Advisor Environmental Bond Fund - Class A	Boston, MA	6/16/2021	865480-03	Filed
FIDELITY INCOME FUND Fidelity Environmental Bond Fund Fidelity Advisor Environmental Bond Fund - Class C	Boston, MA	6/16/2021	865481-03	Filed
FIDELITY INCOME FUND Fidelity Environmental Bond Fund Fidelity Advisor Environmental Bond Fund - Class I	Boston, MA	6/16/2021	865483-03	Filed
FIDELITY INCOME FUND Fidelity Environmental Bond Fund Fidelity Advisor Environmental Bond Fund - Class M	Boston, MA	6/16/2021	865484-03	Filed
FIDELITY INCOME FUND Fidelity Environmental Bond Fund Fidelity Advisor Environmental Bond Fund - Class Z	Boston, MA	6/16/2021	865486-03	Filed
FIDELITY INCOME FUND Fidelity Environmental Bond Fund Fidelity Environmental Bond Fund	Boston, MA	6/16/2021	865482-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity Climate Action Fund Fidelity Advisor Climate Action Fund - Class A	Boston, MA	6/16/2021	865488-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity Climate Action Fund Fidelity Advisor Climate Action Fund - Class C	Boston, MA	6/16/2021	865496-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity Climate Action Fund Fidelity Advisor Climate Action Fund - Class I	Boston, MA	6/16/2021	865490-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY SUMMER STREET TRUST Fidelity Climate Action Fund Fidelity Advisor Climate Action Fund - Class M	Boston, MA	6/16/2021	865494-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity Climate Action Fund Fidelity Advisor Climate Action Fund - Class Z	Boston, MA	6/16/2021	865485-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity Climate Action Fund Fidelity Climate Action Fund	Boston, MA	6/16/2021	865492-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity Sustainability U.S. Equity Fund Fidelity Advisor Sustainability U.S. Equity Fund - Class A	Boston, MA	6/16/2021	865489-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity Sustainability U.S. Equity Fund Fidelity Advisor Sustainability U.S. Equity Fund - Class C	Boston, MA	6/16/2021	865491-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity Sustainability U.S. Equity Fund Fidelity Advisor Sustainability U.S. Equity Fund - Class I	Boston, MA	6/16/2021	865493-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity Sustainability U.S. Equity Fund Fidelity Advisor Sustainability U.S. Equity Fund - Class M	Boston, MA	6/16/2021	865495-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity Sustainability U.S. Equity Fund Fidelity Advisor Sustainability U.S. Equity Fund - Class Z	Boston, MA	6/16/2021	865497-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity Sustainability U.S. Equity Fund Fidelity Sustainability U.S. Equity Fund	Boston, MA	6/16/2021	865487-03	Filed
Payden & Rygel Investment Group Payden California Municipal Social Impact Fund Investor Class	Los Angeles, CA	6/14/2021	864410-03	Pending-Cleared
Number of Investment Company Filings: 62				

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
2305 Global Forum LLC	Richmond, VA	6/18/2021	865538-07	Filed
Alcatraz AI, Inc.	Redwood City, CA	6/18/2021	865541-07	Filed
BU Fund I, a series of Hustle Fund Angel Squad, LP	Seattle, WA	6/18/2021	865551-07	Filed
C-Bridge Healthcare Fund V, L.P.	Grand Cayman,	6/18/2021	865537-07	Filed
CX Multifamily Portfolio Depositor, LLC	Tampa, FL	6/18/2021	865563-07	Filed
Excellere Capital Fund IV, L.P.	Denver, CO	6/18/2021	865544-07	Filed
Flexpoint Asset Opportunity Fund II-A, L.P.	Chicago, IL	6/18/2021	865553-07	Filed
Forefront Venture Fund, LP - A1	Seattle, WA	6/18/2021	865560-07	Filed
Foresite Labs Fund I, L.P.	Larkspur, CA	6/18/2021	865562-07	Filed
Gateway FL Investors LP	New York, NY	6/18/2021	865535-07	Filed
GSP 3 CC AC, L.P.	New York, NY	6/18/2021	865556-07	Filed
HealthQuest Madison SPV, L.P.	Belmont, CA	6/18/2021	865543-07	Filed
Jordan HPC LLC	Fort Worth, TX	6/18/2021	865530-07	Filed
Kazek Ventures Opportunity II, L.P.	Montevideo,	6/18/2021	865540-07	Filed
Kazek Ventures V, L.P.	Montevideo,	6/18/2021	865539-07	Filed
Lavaca Capital Convexity Fund, LP	Houston, TX	6/18/2021	865558-07	Filed
Linden Capital Partners V, LP	Chicago, IL	6/18/2021	865525-07	Filed
Linden Co-Investment V LP	Chicago, IL	6/18/2021	865526-07	Filed
MA Opportunity Fund I, L.P.	Hempstead, TX	6/18/2021	865536-07	Filed
Marlin Credit Opportunities Feeder, L.P.	Hermosa Beach, CA	6/18/2021	865542-07	Filed
Marshall Wace Funds LP - MW TOPS World Equities (US) Fund	George Town,	6/18/2021	865545-07	Filed
MBC Private Equity Fund II LP	Denver, CO	6/18/2021	865547-07	Filed
MDS 2021-Marcellus Shale Development, LP	Pittsburgh, PA	6/18/2021	865554-07	Filed
MVP LS Fund CCCX LLC	Austin, TX	6/18/2021	865550-07	Filed
Nomad Venture Partners LP - A1	Seattle, WA	6/18/2021	865557-07	Filed
NV Gold Corp	Vancouver, BC	6/18/2021	865534-07	Filed
Ospresy Polkadot Trust	Tarrytown, NY	6/18/2021	865533-07	Filed
OtoNexus Medical Technologies, Inc.	Bellevue, WA	6/18/2021	865549-07	Filed
PPC III-A LP	Chicago, IL	6/18/2021	865559-07	Filed
PSG V Private Investors, LLC	New York, NY	6/18/2021	865528-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PWPB Venture LLC	Chicago, IL	6/18/2021	865527-07	Filed
Rae Residential Holdings, LLC	Potomac, MD	6/18/2021	865532-07	Filed
Rhodium 2.0 LLC	Fort Worth, TX	6/18/2021	865529-07	Filed
RU Fund I, a series of Shrug Capital, LP	Seattle, WA	6/18/2021	865552-07	Filed
Sal Funding I, LLC	Fairfield, CT	6/18/2021	865546-07	Filed
Summit Partners Concentrated Growth L/S QP Fund, L.P.	Boston, MA	6/18/2021	865531-07	Filed
Tam(squared) Inc.	Mill Valley, CA	6/18/2021	865561-07	Filed
Topia Interactive, Inc.	Los Hollywood, CA	6/18/2021	865548-07	Filed
VFF IV FAF, L.P.	San Francisco, CA	6/18/2021	865555-07	Filed
Number of Regulation D Notice Filings: 39				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
At Home Eldercare Franchise, LLC / At Home Eldercare (unit offering)	Virginia Beach, VA	6/18/2021	630011	Registered
Launch Franchising, LLC / Launch Trampoline Park	Warwick, RI	6/17/2021	630010	Registered
Tutu School Franchises, LLC / Tutu School	Chicago, IL	6/17/2021	630009	Registered
PFG Ventures, L.P. / Proforma	Independece, OH	6/17/2021	630008	Registered
Scissors & Scotch Franchising, LLC / Scissors & Scotch Barbershop	Mission, KS	6/17/2021	630007	Registered
Spray-Net Inc. / SPRAY-NEY	Boucherville, QC	6/17/2021	630003	Registered
Heavyweight Waste Franchise Partners, LLC / Heavyweight Waste	Carmel, IN	6/17/2021	630002	Registered
MAINSTREAM FASHIONS FRANCHISING INC / Mainstream Boutique	Minneapolis, MN	6/17/2021	630000	Registered
Legato Living Franchising, LLC / Legato Living	Omaha, NE	6/17/2021	629999	Registered
DRIVE N STYLE FRANCHISOR SPV LLC / DRIVE N STYLE®	Charlotte, NC	6/16/2021	629996	Registered
Code Wiz Franchise System, LLC / Ruth Agbaji	Westford, MA	6/16/2021	629995	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
CORE Group Restoration Franchising, LLC / CORE Group Restoration - Member Model	Austin, TX	6/16/2021	629994	Registered
CORE Group Restoration Franchising, LLC / CORE Group Restoration - Branded Conversion	Austin, TX	6/16/2021	629992	Registered
Veronicas Insurance Franchise LLC / Veronicas Insurance	San Bernardino, CA	6/16/2021	629993	Registered
DPF Alternatives, LLC / DPF Alternatives	Highlands Ranch, CO	6/16/2021	629991	Registered
M.G.M. LIQUOR STORES, INC. / MGM WINE & SPIRITS	ST PAUL, MN	6/16/2021	629990	Registered
BurgerFi International, Inc. / BurgerFi	North Palm Beach, FL	6/15/2021	629989	Registered
Softroc Global, LLC / Softroc	Waco, TX	6/15/2021	629988	Registered
BNI Franchising, LLC / BNI	Charlotte, NC	6/15/2021	629987	Registered
Realty Executives Intl. SVCS. LLC / Realty Executives	Phoenix, AZ	6/15/2021	629986	Registered
POTBELLY FRANCHISING, LLC / Potbelly Sandwich Works	CHICAGO, IL	6/15/2021	629985	Registered
BAM Franchising, Inc. / Bricks and Minifigs	Orem, UT	6/14/2021	629984	Registered
MOD Super Fast Pizza Franchising, LLC / MOD Pizza	Bellevue, WA	6/14/2021	629983	Registered
4Ever Young Franchising, LLC / 4Ever Young	Boca Raton, FL	6/14/2021	629982	Registered
ZOUP! Systems, LLC / Zoup Restaurants	Farmington Hills, MI	6/14/2021	629980	Registered
USA Ninja Challenge Franchising, LLC / USA Ninja Challenge	Concord, NH	6/14/2021	629981	Registered
SCA FRANCHISING CORP / SCA Appraisal Services	BURBANK, CA	6/14/2021	629979	Registered
Number of Franchise Filings: 27				