

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/20/2021 through 6/26/2021**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
More 2 Life Ministries, Inc.	Okeechobee, FL	6/23/2021	865743-02	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2073 Aegon Sustainable Equity Portfolio, CDA Series 2021-2Q	Monument, CO	6/25/2021	865703-05	Pending-Cleared
Advisors Disciplined Trust 2073 Building America Strategy Portfolio, CDA Series 2021-2Q	Monument, CO	6/25/2021	865704-05	Pending-Cleared
Advisors Disciplined Trust 2073 Cohen & Steers Preferred Income Opportunities Portfolio, CDA Series 2021-2Q	Monument, CO	6/25/2021	865705-05	Pending-Cleared
Advisors Disciplined Trust 2073 High 50® Dividend Strategy Portfolio, CDA Series 2021-2Q	Monument, CO	6/25/2021	865706-05	Pending-Cleared
Advisors Disciplined Trust 2074 Aegon Sustainable Equity Portfolio, Series 2021-2Q	Monument, CO	6/25/2021	865707-05	Pending-Cleared
Advisors Disciplined Trust 2074 Bahl & Gaynor smig (Small/Mid Cap Income Growth) Portfolio, Series 2021-2Q	Monument, CO	6/25/2021	865708-05	Pending-Cleared
Advisors Disciplined Trust 2074 Cohen & Steers Preferred Income Opportunities Portfolio, Series 2021-2Q	Monument, CO	6/25/2021	865713-05	Pending-Cleared
Advisors Disciplined Trust 2074 Emerging Markets Dividend Portfolio, Series 2021-2Q	Monument, CO	6/25/2021	865714-05	Pending-Cleared
Advisors Disciplined Trust 2074 High 50® Dividend Strategy Portfolio, Series 2021-2Q	Monument, CO	6/25/2021	865715-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2074 Human Behavior Opportunities Portfolio, Series 2021-2Q	Monument, CO	6/25/2021	865716-05	Pending-Cleared
Advisors Disciplined Trust 2074 Human Behavior Strategy Portfolio, Series 2021-2Q	Monument, CO	6/25/2021	865717-05	Pending-Cleared
Advisors Disciplined Trust 2074 Next Generation ESG Strategy Portfolio, Series 2021-2Q - A Hartford Investment Management Company ("HIMCO") Portfolio	Monument, CO	6/25/2021	865718-05	Pending-Cleared
Advisors Disciplined Trust 2074 Peroni Growth Portfolio, Summer 2021 Series	Monument, CO	6/25/2021	865709-05	Pending-Cleared
Advisors Disciplined Trust 2074 REIT Portfolio, Series 2021-2Q - A Hartford Investment Management Company ("HIMCO") Portfolio	Monument, CO	6/25/2021	865710-05	Pending-Cleared
Advisors Disciplined Trust 2074 The Dividend Income Value Strategy Portfolio, Series 2021-2Q	Monument, CO	6/25/2021	865711-05	Pending-Cleared
Advisors Disciplined Trust 2074 Utilities Portfolio, Series 2021-2Q - A Hartford Investment Management Company ("HIMCO") Portfolio	Monument, CO	6/25/2021	865712-05	Pending-Cleared
Calamos Investment Trust Calamos Timpani Small Cap Growth Fund Class C Shares	Milwaukee, WI	6/25/2021	865626-03	Filed
Conestoga Funds Conestoga Mid Cap Fund Institutional Class	Cincinnati, OH	6/25/2021	865630-03	Filed
Conestoga Funds Conestoga Mid Cap Fund Investors Class	Cincinnati, OH	6/25/2021	865629-03	Filed
FT 9394 U.S. Equity Closed-End Portfolio, Series 38	Wheaton, IL	6/25/2021	865697-05	Pending-Cleared
FT 9419 Dividend Strength Portfolio, Series 53	Wheaton, IL	6/25/2021	865689-05	Pending-Cleared
FT 9420 Emerging Markets Strength Portfolio, Series 52	Wheaton, IL	6/25/2021	865690-05	Pending-Cleared
FT 9421 Innovative Financial and Technology Portfolio, Series 5	Wheaton, IL	6/25/2021	865691-05	Pending-Cleared
FT 9422 Sabrient Small Cap Growth Portfolio, Series 30	Wheaton, IL	6/25/2021	865692-05	Pending-Cleared
FT 9423 FTP Emerging Markets Strength Portfolio, Series 3	Wheaton, IL	6/25/2021	865693-05	Pending-Cleared

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FT 9424 FTP Dividend Strength Portfolio, Series 3	Wheaton, IL	6/25/2021	865694-05	Pending-Cleared
FT 9425 Equity Income Opportunity Portfolio, Series 17	Wheaton, IL	6/25/2021	865698-05	Pending-Cleared
FT 9426 FT Income Portfolio, Series 12	Wheaton, IL	6/25/2021	865699-05	Pending-Cleared
FT 9428 Technology Select Portfolio, Series 82	Wheaton, IL	6/25/2021	865702-05	Pending-Cleared
FT 9435 Inflation Hedge Portfolio, Series 45	Wheaton, IL	6/25/2021	865700-05	Pending-Cleared
FT 9442 Stifel Best Picks, June 2072	Wheaton, IL	6/25/2021	865688-05	Pending-Cleared
FT 9458 High-Yield Income Closed-End Portfolio, Series 94	Wheaton, IL	6/25/2021	865695-05	Pending-Cleared
FT 9466 Cboe Vest Growth 100 Buffered Portfolio, Series 2	Wheaton, IL	6/25/2021	865701-05	Pending-Cleared
FT 9512 Dividend & Income Select Closed-End Portfolio, Series 88	Wheaton, IL	6/25/2021	865696-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2130 Kensho Space Exploration & Innovation Portfolio, Series 10	Chicago, IL	6/25/2021	865744-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2134 Dow 50 Value Dividend Portfolio, Series 45	Chicago, IL	6/25/2021	865745-05	Pending-Cleared
Johnson Mutual Funds Trust Johnson Institutional Intermdediate Bond Fund Class I	Cincinnati, OH	6/25/2021	865748-03	Filed
Morgan Stanley Portfolios, Series 50, Quality Dividend Strategy	Purchase, NY	6/25/2021	865747-05	Filed
Payden & Rygel Investment Group Payden Global Fixed Income Fund SI Class	Los Angeles, CA	6/25/2021	865628-03	Filed
Payden & Rygel Investment Group Payden Limited Maturity Fund SI Class	Los Angeles, CA	6/25/2021	865627-03	Filed
SmartTrust 521 Strategic Growth & Income Trust, Series 24	Parsippany, NJ	6/25/2021	865746-05	Pending-Cleared
John Hancock Investment Trust John Hancock Global Environmental Opportunities Fund Class A	Boston, MA	6/24/2021	865593-03	Pending-Cleared
John Hancock Investment Trust John Hancock Global Environmental Opportunities Fund Class C	Boston, MA	6/24/2021	865594-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
John Hancock Investment Trust John Hancock Global Environmental Opportunities Fund Class I	Boston, MA	6/24/2021	865592-03	Pending-Cleared
John Hancock Investment Trust John Hancock Global Environmental Opportunities Fund Class R6	Boston, MA	6/24/2021	865595-03	Pending-Cleared
Lord Abbett Trust I Lord Abbett International Growth Fund Class A	Jersey City, NJ	6/24/2021	865585-03	Pending-Cleared
Lord Abbett Trust I Lord Abbett International Growth Fund Class C	Jersey City, NJ	6/24/2021	865586-03	Pending-Cleared
Lord Abbett Trust I Lord Abbett International Growth Fund Class F	Jersey City, NJ	6/24/2021	865587-03	Pending-Cleared
Lord Abbett Trust I Lord Abbett International Growth Fund Class F3	Jersey City, NJ	6/24/2021	865588-03	Pending-Cleared
Lord Abbett Trust I Lord Abbett International Growth Fund Class I	Jersey City, NJ	6/24/2021	865589-03	Pending-Cleared
Pioneer Series Trust IV Pioneer Securitized Income Fund Class A Shares	Boston, MA	6/24/2021	865590-03	Pending-Cleared
Pioneer Series Trust IV Pioneer Securitized Income Fund Class Y Shares	Boston, MA	6/24/2021	865591-03	Pending-Cleared
AFA Multi-Manager Credit Fund Investor Class Shares	Milwaukee, WI	6/23/2021	865739-59	Filed
Investment Managers Series Trust II Sunbridge Capital Emerging Markets Fund Institutional Class	Milwaukee, WI	6/23/2021	865740-03	Pending-Cleared
Investment Managers Series Trust II Sunbridge Capital Emerging Markets Fund Investor Class	Milwaukee, WI	6/23/2021	865741-03	Pending-Cleared
Fidelity Rutland Square Trust II Strategic Advisers Municipal Bond Fund Strategic Advisers Municipal Bond Fund	Boston, MA	6/22/2021	865581-03	Filed
FundVantage Trust Polen U.S. Small Company Growth Fund Class Y	Wilmington, DE	6/22/2021	865582-03	Filed
TCW Funds, Inc. TCW Enhanced Commodity Strategy Fund Class I	Los Angeles, CA	6/22/2021	865584-03	Filed

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Firm Name	Location	Date Received	File Number	Status
TCW Funds, Inc. TCW Enhanced Commodity Strategy Fund Class N	Los Angeles, CA	6/22/2021	865583-03	Filed
AFA Multi-Manager Credit Fund Institutional Class Shares	Milwaukee, WI	6/21/2021	865580-59	Filed
Cliffwater Enhanced Lending Fund Class I Shares	Milwaukee, WI	6/21/2021	865578-59	Filed
Hamilton Lane Private Assets Fund Class D Shares	Bala Cynwyd, PA	6/21/2021	865579-59	Filed
Number of Investment Company Filings: 62				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
10X Capital SPAC Fund II, a series of SAX Capital MM Fund, LP	Seattle, WA	6/25/2021	865646-07	Filed
AB PR QOF III L.P.	NEW YORK, NY	6/25/2021	865680-07	Filed
ABRY Senior Equity VI, L.P.	BOSTON, MA	6/25/2021	865679-07	Filed
AgriTech QP Partners LLC - Series HB-1	NEW YORK, NY	6/25/2021	865672-07	Filed
BayPine Capital Partners Fund I-A, LP	BOSTON, MA	6/25/2021	865685-07	Filed
BC Industrial Exchange Portfolio I DST	DENVER, CO	6/25/2021	865676-07	Filed
Bitwise Ethereum Fund, LLC	SAN FRANCISCO, CA	6/25/2021	865652-07	Filed
Bridge Opportunity Zone Fund IV LP	SANDY, UT	6/25/2021	865657-07	Filed
CH4 Power, LLC	PHOENIX, AZ	6/25/2021	865682-07	Filed
EnviroGold Global (Can) Ltd.	TORONTO, ON	6/25/2021	865633-07	Filed
FORTEM INVENIO, INC.	GREEN BAY, WI	6/25/2021	865687-07	Filed
GH Riverfront FM 969 Bastrop LLC	AUSTIN, TX	6/25/2021	865671-07	Filed
Greater Community Bancshares, Inc.	ROME, GA	6/25/2021	865634-07	Filed
Greenbird Intelligence Fund LLC, Series A	BOHEMIA, NY	6/25/2021	865658-07	Filed
GU0 Fund I, a series of AngelList Funds, LP	SEATTLE, WA	6/25/2021	865684-07	Filed
HJH Indy 1 LLC	WICHITA, KS	6/25/2021	865637-07	Filed
Hydrobuilder Holdings LLC	NEW YORK, NY	6/25/2021	865675-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
IBD Ventures LLC - Fintech Series B	BOCA RATON, FL	6/25/2021	865638-07	Filed
IBD Ventures LLC - Telemedicine Series C	BOCA RATON, FL	6/25/2021	865632-07	Filed
Inspired Senior Living of Las Vegas DST	SCOTTSDALE, AZ	6/25/2021	865683-07	Filed
Ivilla Venture, LLC	NORTHRIDGE, CA	6/25/2021	865666-07	Filed
LM Fund I, a series of Live Alive Ventures, LP	Seattle, WA	6/25/2021	865654-07	Filed
LP Operating, LLC	BOERNE, TX	6/25/2021	865661-07	Filed
MA Fund I, a series of Team Uplyft, LP	Seattle, WA	6/25/2021	865655-07	Filed
Master Portfolio (A), L.P.	BOSTON, MA	6/25/2021	865673-07	Filed
MF Superfund, LP - A1	Seattle, WA	6/25/2021	865641-07	Filed
MT Nutrition II, LP	VAIL, CO	6/25/2021	865631-07	Filed
MVP LS FUND CCCVI LLC	AUSTIN, TX	6/25/2021	865663-07	Filed
North Fourth Investments LLC	FORT WORTH, TX	6/25/2021	865642-07	Filed
OC Fund I, a series of Live Alive Ventures, LP	Seattle, WA	6/25/2021	865656-07	Filed
Optimum Energy Partners LLC	DALLAS, TX	6/25/2021	865662-07	Filed
Pioche Village Fund LP	New York, NY	6/25/2021	865659-07	Filed
Pomp Investments, LP - A3	Seattle, WA	6/25/2021	865643-07	Filed
Portfolia FemTech II LLC	SAN MATEO, CA	6/25/2021	865670-07	Filed
RC II Private Investors, LLC	New York, NY	6/25/2021	865660-07	Filed
RehabPulse Holdco Inc.	MIDDLETON, WI	6/25/2021	865653-07	Filed
Schonfeld Fundamental Equity Fund LLC	NEW YORK, NY	6/25/2021	865674-07	Filed
SciFluor Life Sciences, Inc.	BOSTON, MA	6/25/2021	865664-07	Filed
SE Michigan Holdings LLC	OVIEDO, FL	6/25/2021	865668-07	Filed
Staten SNL II, LLC	LAKE SUCCESS, NY	6/25/2021	865636-07	Filed
Surface Ophthalmics, Inc.	PLEASANTON, CA	6/25/2021	865667-07	Filed
TA/WEG Parent, LLC	PLYMOUTH, MN	6/25/2021	865665-07	Filed
TFG Sterling Drive REIT, LLC	BOSTON, MA	6/25/2021	865678-07	Filed
Third Point Ultra Onshore LP	NEW YORK, NY	6/25/2021	865681-07	Filed
TIDAL PETROLEUM INC	SCHERTZ, TX	6/25/2021	865686-07	Filed
Turning Rock Fund II LP	NEW YORK, NY	6/25/2021	865677-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
West Street Capital Partners VIII, L.P.	NEW YORK, NY	6/25/2021	865669-07	Filed
Worthington Court Townhomes, LLC	FRANKLIN SQUARE, NY	6/25/2021	865635-07	Filed
Number of Regulation D Notice Filings: 48				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Snap Fitness, Inc. / Snap Fitness	Chanhassen, MN	6/25/2021	630047	Registered
LONG JOHN SILVERS LLC / Long John Silver's	LOUISVILLE, KY	6/25/2021	630046	Registered
Ellie Fam LLC / Ellie Mental Health	Mendota Heights, MN	6/25/2021	630045	Registered
Monkburger Franchise Group LLC / Monk's Bar and Grill®	Wisconsin Dells, WI	6/25/2021	630044	Registered
Delivery.com Franchising, LLC / Delivery.com	New York, NY	6/25/2021	630042	Registered
TGI Fridays Franchisor, LLC / TGI Fridays	Dallas, TX	6/24/2021	630040	Registered
OTB ACQUISITION LLC / ON THE BORDER MEXICAN GRILL & CANTINA	Irving, TX	6/24/2021	630039	Registered
Tip Top K9 Franchising Company, LLC	Tulsa, OK	6/24/2021	630038	Registered
One You Love Homecare Franchising, LLC / One You Love Homecare	Philadelphia, PA	6/24/2021	630035	Registered
The Inspection Boys Franchise USA LLC d/b/a The Inspection Boys / The Inspection Boys - Area	Virginia Beach, VA	6/24/2021	630032	Registered
The Inspection Boys Franchise USA LLC d/b/a The Inspection Boys / The Inspection Boys - Unit	Virginia Beach, VA	6/24/2021	630031	Registered
Rezzil Strategic Partners LLC	Woodcliff Lake, NJ	6/23/2021	630027	Registered
OTF Franchisor, LLC / ORANGETHEORY Area Representative	Boca Raton, FL	6/23/2021	630028	Registered
WZ Franchise, LLC / Wing Zone	Las Vegas, NV	6/23/2021	630026	Registered
MWJ Holdings LLC / Twisted Sugar	Syracuse, UT	6/23/2021	630025	Registered
MUSA Franchise Development, LLC / Marugame Udon	Dallas, TX	6/22/2021	630022	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
First Choice Business Brokers, Inc. / First Choice Business Brokers	Las Vegas, NV	6/21/2021	630016	Registered
Groutsmith Franchising, Inc. / Groutsmith	Sarasota, FL	6/21/2021	630015	Registered
At Home Eldercare Franchise, LLC / At Home Eldercare (area representative)	Virginia Beach, VA	6/21/2021	630014	Registered
Number of Franchise Filings: 19				