

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 6/27/2021 through 7/3/2021**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BlackRock Funds BlackRock SMID-Cap Growth Equity Fund Class K Shares	Wilmington, DE	7/2/2021	865730-03	Filed
BlackRock Funds BlackRock SMID-Cap Growth Equity Fund Institutional Shares	Wilmington, DE	7/2/2021	865729-03	Filed
BlackRock Funds BlackRock SMID-Cap Growth Equity Fund Investor A Shares	Wilmington, DE	7/2/2021	865728-03	Filed
FT 9401 FTP SkyBridge Digital Innovation Portfolio Series	Wheaton, IL	7/2/2021	865776-05	Pending-Cleared
FT 9402 FTP Travel and Destination Portfolio Series	Wheaton, IL	7/2/2021	865777-05	Pending-Cleared
FT 9403 Travel and Destination Portfolio Series	Wheaton, IL	7/2/2021	865779-05	Pending-Cleared
FT 9429 Sabrient Dividend Portfolio, Series 36	Wheaton, IL	7/2/2021	865782-05	Pending-Cleared
FT 9430 Worldwide Economic Recovery Portfolio, Series 11	Wheaton, IL	7/2/2021	865780-05	Pending-Cleared
FT 9432 AQA® Large-Cap Portfolio, Series 6	Wheaton, IL	7/2/2021	865785-05	Pending-Cleared
FT 9433 Homebuilders Recovery Select Portfolio, Series 27	Wheaton, IL	7/2/2021	865781-05	Pending-Cleared
FT 9434 International Capital Strength Portfolio, Series 51	Wheaton, IL	7/2/2021	865787-05	Pending-Cleared
FT 9434 SMid Capital Strength Portfolio, Series 51	Wheaton, IL	7/2/2021	865788-05	Pending-Cleared
FT 9446 Limited Duration Fixed Income ETF Portfolio, Series 40	Wheaton, IL	7/2/2021	865791-05	Pending-Cleared

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FT 9447 Senior Loan and Dividend Growers Portfolio, Series 31	Wheaton, IL	7/2/2021	865792-05	Pending-Cleared
FT 9485 Interest Rate Hedge Portfolio, Series 137	Wheaton, IL	7/2/2021	865784-05	Pending-Cleared
FT 9491 Senior Loan Select Closed-End Portfolio, Series 44	Wheaton, IL	7/2/2021	865789-05	Pending-Cleared
FT 9492 Balanced Income Equity and ETF Portfolio, Series 49	Wheaton, IL	7/2/2021	865793-05	Pending-Cleared
Future Pearl Labs, Inc.	Santa Monica, CA	7/2/2021	865736-25	Filed
Jet Token Inc.	Las Vegas, NV	7/2/2021	865737-25	Filed
First Eagle Funds First Eagle Small Cap Opportunity Fund Class A	New York, NY	7/1/2021	865719-03	Pending-Cleared
First Eagle Funds First Eagle Small Cap Opportunity Fund Class I	New York, NY	7/1/2021	865720-03	Pending-Cleared
First Eagle Funds First Eagle Small Cap Opportunity Fund Class R6	New York, NY	7/1/2021	865721-03	Pending-Cleared
The Advisors` Inner Circle Fund III GQG Partners Global Quality Dividend Income Fund Institutional Shares	Oaks, PA	7/1/2021	865726-03	Filed
The Advisors` Inner Circle Fund III GQG Partners Global Quality Dividend Income Fund Investor Shares	Oaks, PA	7/1/2021	865725-03	Filed
The Advisors` Inner Circle Fund III GQG Partners International Quality Dividend Income Fund Institutional Shares	Oaks, PA	7/1/2021	865727-03	Filed
The Advisors` Inner Circle Fund III GQG Partners International Quality Dividend Income Fund Investor Shares	Oaks, PA	7/1/2021	865722-03	Filed
The Advisors` Inner Circle Fund III GQG Partners US Quality Dividend Income Fund Institutional Shares	Oaks, PA	7/1/2021	865724-03	Filed
The Advisors` Inner Circle Fund III GQG Partners US Quality Dividend Income Fund Investor Shares	Oaks, PA	7/1/2021	865723-03	Filed
Brown Advisory Funds Brown Advisory - Beutel Goodman Large-Cap Value Fund Investor Shares	Milwaukee, WI	6/30/2021	865649-03	Filed

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SCM Trust Icon Utilities and Income Fund Investor Class	Denver, CO	6/30/2021	865648-03	Filed
Trust For Professional Managers CrossingBridge Responsible Credit Fund Institutional Class Shares	Milwaukee, WI	6/30/2021	865651-03	Filed
Trust For Professional Managers CrossingBridge Ultra-Short Duration Fund Institutional Class Shares	Milwaukee, WI	6/30/2021	865650-03	Filed
Paradigm Funds Paradigm Select Fund	Albany, NY	6/29/2021	865742-03	Filed
SCM Trust Icon Utilities and Income Fund Institutional Class	Denver, CO	6/29/2021	865647-03	Filed
Advisors Series Trust Pzena International Value Fund Institutional Class	Milwaukee, WI	6/28/2021	865639-03	Filed
Advisors Series Trust Pzena International Value Fund Investor Class	Milwaukee, WI	6/28/2021	865640-03	Filed
Prudential Investment Portfolios 3 PGIM Strategic Bond Fund Class R6	Newark, NJ	6/28/2021	865645-03	Filed
Prudential Investment Portfolios 9 PGIM QMA Large-Cap Core Equity Fund Class R6	Newark, NJ	6/28/2021	865644-03	Filed
<b>Number of Investment Company Filings: 38</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
3200 Russell LLC	Minneapolis, MN	7/2/2021	865786-07	Filed
Acretrader 159, LLC	Fayetteville, AR	7/2/2021	865796-07	Filed
AD Fund II, a series of Team Uplyft, LP	Seattle, WA	7/2/2021	865774-07	Filed
Aldrich Capital Partners Fund II, LP	Vienna, VA	7/2/2021	865751-07	Filed
BR Greylyn Portfolio, DST	New York, NY	7/2/2021	865758-07	Filed
Bungalows on Sarival LLC	Scottsdale, AZ	7/2/2021	865795-07	Filed
Canyon OZF Academy Reno L.P.	Los Angeles, CA	7/2/2021	865754-07	Filed
Citimark Charleston Investors, LLC	Indianapolis, IN	7/2/2021	865763-07	Filed
Commerce Ventures IV, L.P.	San Francisco, CA	7/2/2021	865765-07	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Corus Orthodontists Inc.	Calgary, AB	7/2/2021	865778-07	Filed
CR 101 Grant Denver LLC	Oakland, CA	7/2/2021	865801-07	Filed
Flexera Parent, LLC	Itasca, IL	7/2/2021	865757-07	Filed
FRED-Phase 2 Randall Road, LLC	Milwaukee, WI	7/2/2021	865802-07	Filed
FSC Healthcare 6 DST	Lake Como, NJ	7/2/2021	865797-07	Filed
Glenview Syndicate LLC	Hudson, WI	7/2/2021	865773-07	Filed
HealthEco CPP SPV II, LLC	Virginia Beach, VA	7/2/2021	865800-07	Filed
HF Allegory Riverhaus LLC	Indianapolis, IN	7/2/2021	865798-07	Filed
Isabella Bank Corp	Mt Pleasant, MI	7/2/2021	865768-07	Filed
Kettering 47, LLC	Lebanon, OH	7/2/2021	865756-07	Filed
Kirby Finance, LLC	Chicago, IL	7/2/2021	865783-07	Filed
MCAP - Benson #1 J.V.	Dallas, TX	7/2/2021	865799-07	Filed
NA Fund I, a series of AngelList-Forefront Venture Partners-Funds, LP	Seattle, WA	7/2/2021	865764-07	Filed
Nanban Venture Capital Fund I LP	Frisco, TX	7/2/2021	865767-07	Filed
NextGen UrbanStems LLC	Baltimore, MD	7/2/2021	865790-07	Filed
Pactolus Income Opportunities LLC	Vienna, VA	7/2/2021	865760-07	Filed
PE Fund I, a series of Not Boring Ventures, LP	Seattle, WA	7/2/2021	865775-07	Filed
Precious Metals Allocation Fund, LP	Madison, WI	7/2/2021	865769-07	Filed
Proteum Energy, LLC	Phoenix, AZ	7/2/2021	865753-07	Filed
Revolution Gelato, Inc.	Atlanta, GA	7/2/2021	865766-07	Filed
Safari Funding LLC	Las Vegas, NV	7/2/2021	865794-07	Filed
SBCP III - AI, LP	Chicago, IL	7/2/2021	865750-07	Filed
SCP Select-Nifty, LLC	Chicago, IL	7/2/2021	865752-07	Filed
SKK Access Income Fund LP	Conshohocken, PA	7/2/2021	865749-07	Filed
Splash Financial, Inc.	Cleveland, OH	7/2/2021	865762-07	Filed
Tiverton AgriFinance II, LP	Raleigh, NC	7/2/2021	865755-07	Filed
TPEG Summit West Investors LLC	Southlake, TX	7/2/2021	865761-07	Filed
Winc, Inc.	Los Angeles, CA	7/2/2021	865759-07	Filed
<b>Number of Regulation D Notice Filings: 37</b>				

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
EWC Franchise, LLC / European Wax Center	Plano, TX	7/2/2021	630090	Registered
Planet Beach Franchising Corporation	Marrero, LA	7/2/2021	630089	Registered
Disaster Kleenup International, LLC / DKI	Elk Grove Village, IL	7/2/2021	630088	Registered
Iron Tribe Franchise, LLC / Iron Tribe Fitness	Birmingham, AL	7/2/2021	630087	Registered
SpeeDee Worldwide, LLC / SpeeDee Oil Change & Auto Service	Greenwood Village, CO	7/1/2021	630079	Registered
Auto Select, Inc.	Hatley, WI	7/1/2021	630078	Registered
RX2LIVE, Inc / RX2LIVE (Regional Developer)	Highland, UT	7/1/2021	630077	Registered
RX2LIVE, Inc. / RX2LIVE (Unit)	Highland, UT	7/1/2021	630076	Registered
HEALTH MART SYSTEMS, INC / HEALTH MART	Irving, TX	7/1/2021	630074	Registered
Ziebart Corporation / Ziebart	Troy, MI	6/30/2021	630070	Registered
Five Guys Franchisor, LLC / Five Guys Burgers & Fries	Lorton, VA	6/30/2021	630069	Registered
LG AS Franchisor LLC / stayAPT Suites	Matthews, NC	6/29/2021	630067	Registered
ADVANCED FRESH CONCEPTS FRANCHISE CORP / AFC, Advanced Fresh Concepts, Wild Blue	RANCHO DOMINGUEZ, CA	6/29/2021	630066	Registered
TBC International, LLC / Title Boxing	Overland Park, KS	6/29/2021	630065	Registered
ActiKare, Inc. / ActiKare In Home Care Services	Tampa, FL	6/29/2021	630064	Registered
Club Z!, Inc. / Club Z!	Tampa, FL	6/29/2021	630063	Registered
Big O Tires, LLC (PDF)	Palm Beach Gardens, FL	6/29/2021	630060	Registered
Big O Tires, LLC / Big O	Palm Beach Gardens, FL	6/29/2021	630059	Registered
Midas International, LLC / Midas	Palm Beach Gardens, FL	6/29/2021	630057	Registered
Zoom Drain Franchise, LLC / Zoom Drain	Norristown, PA	6/29/2021	630058	Registered
VALPAK DIRECT MARKETING SYSTEMS LLC / VALPAK	St. Petersburg, FL	6/28/2021	630055	Registered
Mr. Gatti's Pizza, LLC / Mr. Gatti's Pizza - FEC	Fort Worth, TX	6/28/2021	630054	Registered
Mr. Gatti's Pizza, LLC / Mr. Gatti's Pizza - DELCO	Fort Worth, TX	6/28/2021	630053	Registered

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1073355 Ontario Limited / SCHOOLEY MITCHELL®	Stratford, ON	6/28/2021	630052	Registered
HandyPro International, LLC	FARMINGTON, MI	6/27/2021	630050	Registered
<b>Number of Franchise Filings: 25</b>				