

**WI Securities Law and Franchise Law Filings  
for 6/27/2021 through 7/3/2021**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Brown Advisory Funds Brown Advisory - Beutel Goodman Large-Cap Value Fund Investor Shares	Milwaukee, WI	6/30/2021	865649-03	Filed
Trust For Professional Managers CrossingBridge Responsible Credit Fund Institutional Class Shares	Milwaukee, WI	6/30/2021	865651-03	Filed
Trust For Professional Managers CrossingBridge Ultra-Short Duration Fund Institutional Class Shares	Milwaukee, WI	6/30/2021	865650-03	Filed
Advisors Series Trust Pzena International Value Fund Institutional Class	Milwaukee, WI	6/28/2021	865639-03	Filed
Advisors Series Trust Pzena International Value Fund Investor Class	Milwaukee, WI	6/28/2021	865640-03	Filed
<b>Number of Investment Company Filings: 5</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FRED-Phase 2 Randall Road, LLC	Milwaukee, WI	7/2/2021	865802-07	Filed
Glenview Syndicate LLC	Hudson, WI	7/2/2021	865773-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Precious Metals Allocation Fund, LP	Madison, WI	7/2/2021	865769-07	Filed
<b>Number of Regulation D Notice Filings: 3</b>				

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Auto Select, Inc.	Hatley, WI	7/1/2021	630078	Registered
<b>Number of Franchise Filings: 1</b>				